

Semarang Climate Change Resilience Strategy, Indonesia

June 2011

The attached report is the Semarang Climate Change Resilience Strategy, produced by the Semarang ACCCRN City Team and led by the Semarang Climate Working Group with support from National partner Mercy Corps. Supporting analyses were completed by CCROM, DPK and URDI. The strategy was completed in August 2010. It was produced during the city engagement phase of the Rockefeller Foundation Asian Cities Climate Change Resilience Network (ACCCRN) program. This document represents the culmination of the engagement work.

The Semarang Climate Change Resilience Strategy is the work of the Indonesian ACCCRN partners, and is presented here in translation, the original having been prepared in Bahasa Indonesia and translated into English by the Semarang partners and Mercy Corps. The views in the paper represent the knowledge and opinions of the authors. In these cover pages we discuss the relevance of the document in the context of ACCCRN, what we have seen the city learn as a result of its preparation, and how we anticipate it will be used in the future. These observations are ISET's and may differ from those of other ACCCRN partners.

Introduction to ACCCRN

The Asian Cities Climate Change Resilience Network (ACCCRN) is an innovative program that helps mid-sized cities in South and South East Asia build resilience to climate change. Rockefeller Foundation launched the program in 2008. The Rockefeller Foundation and ACCCRN partners work together to conceptualize frameworks, tools and methods for cities to understand, identify, prioritize and actualize climate change resilience in vulnerable urban areas.

The program includes ten cities in South and South East Asia. Da Nang, Can Tho and QuyNhon in Vietnam; Gorakhpur, Surat and Indore in India; Bandar Lampung and Semarang in Indonesia; and Chiang Raiand Hat Yai in Thailand.

ACCCRN works with national partners TARU Leading Edge and Gorakhpur Environmental Action Group (GEAG) in India, the National Institute for Science and Technology Policy and Strategy Studies (NISTPASS) and Challenge to Change (CtC) in Vietnam, Mercy Corps in Indonesia and the Thailand Environmental Institute (TEI) in Thailand.

The Institute for Social and Environmental Transition (ISET) is the lead facilitating partner for the ACCCRN process. The ACCCRN interaction with cities was organized in three phases:

• Phase 1, **City Scoping and Selection** -Vietnamese and Indian cities were finalized in November 2008, Indonesia cities were finalized in the spring of 2009 and Thai cities were finalized in October 2009.

- Phase 2, **Engagement** January 2009 to October 2010 in India and Vietnam, June 2009 toAugust 2010 in Indonesia, and January to October 2010 in Thailand. *The documents presented here reflect the results of this second phase of work.*
- Phase 3, **Implementation** funding of city-led climate change adaptation interventions began in January 2011 and will extend through December 2014.

The Phase 2 engagement wasorganized around Shared Learning Dialogues (SLDs). SLDs are iterative, transparent group discussions with local community actors, government agencies, and specific organizations designed to bring together available information on climate change with local knowledge and perceptions. The SLD process guides all ACCCRN stakeholders in identifying the constraints and opportunities in adapting to climate change, understanding the complex systems within each of the partner cities, and working with a diverse range of city actors to build urban resilience.

The SLDs are informed by city specific information generated or compiled to support the ACCCRN engagement, includingclimate science and model results, vulnerability assessments, and sector studies. Through this process, local partners and relevant stakeholders increased their understanding of climate change in general and how it will impact and affect their cities, particularly for vulnerable groups and areas, in particular. This understanding was tested and extended through the development and implementation of climate change adaptation pilot projects in each city. Phase 2 culminated with preparation by each city of their own city "Climate Resilience Strategy". ACCCRN provided tools and methods through which local partners themselves led coordination and analysis, with the goal of fostering strong city ownership and integratingresilience planningprocesses into city planning for the long-term.

Background Information and Document Discussion

The Indonesian ACCCRN experience has a number of unique qualities in contrast to other ACCCRN cities and countries. Two central issues in particular demonstrably shaped the final Indonesian resilience strategies:

- Concern by the city teams that the results of the initial citywide vulnerability assessments were inadequate ledto extensive deliberation on the part of the ACCCRN teams in both cities, reliance on additional secondary sources, and the production of new hybrid analysis in the resilience strategy to a greater extent than in other countries; and,
- Mercy Corps and the Indonesian City Teams put strong priority on integrating the resilience strategies with national and local policy.

The impact of these two issues are described below for the Semarang case.

The Semarang Climate Change Resilience Strategy opens with an introduction clearly outlining the city background and purpose of the document, before moving into vulnerability analysis, presentation and prioritization of proposed resilience actions, and a discussion of relevant national and local policies.

The vulnerability analysis in the resilience strategy is based on three vulnerability

assessments prepared during the engagement phase. CCROM undertook a citywide assessment, characterizing *kelurahans*/sub districts by levels of current and future risk by overlaying exposure to climate hazards (based on a "composite climate hazard index") with coping capacity (based on vulnerability and adaptive capacity indices using a number of indicators). Meanwhile, Mercy Corps contributed a community-based vulnerability assessment in *kelurahans* identified as vulnerable by the City Team during SLD 1. The Urban and Regional Development Institute (URDI) produced an assessment of governance in relation to climate change adaptation.

The vulnerability analysis in the Semarang resilience strategy draws from these three documents, supported by additional materials. As in other countries, the vulnerability section represents the culmination of a number of activities, deliberations between technical staff, and a consensus on the most key activities. In Semarang, however, the deliberation and consensus took on a particularly important role due to discomfort among members of the City Team with the CCROM citywide vulnerability assessment. Some of the issues the city partners flagged were:

- The cities didn't agree with some of the indicators that were selected for analysis. In part, this was because some of the indicators were misleading, such as the number of educational facilities in an area, rather than the average level of education. Because the indicators were not made transparent in the document, the city team spent considerable effort to discover what was actually being measured, and also lost confidence in the analysis;
- Aggregating the hazards resulted in losing information about which hazards impacted which areas;
- Analyses were based on national datasets, some of which are available only at largescale and therefore have limited accuracy at the city-level;
- Areas currently unexposed to flooding were identified as vulnerable to future flooding, but the city team was not convinced this was realistic;
- Involvement of city team and consultation during the process was minimal; and,
- The report writing was very academic which made it difficult for many of the city partners to understand and increased the challenges in giving feedback to CCROM.

This discomfort catalyzed partners to adopt certain elements of the vulnerability assessment, while replacing or supplementing other analysis with information from other sources. The City Working Group, City Team and Mercy Corps deliberated on these issues through a series of SLDs during the resilience strategy development phase. In this way, the resilience strategies provided a forum in which partners could formulate a new and more comfortable understanding of vulnerability.

The resulting vulnerability analysis in the resilience strategy outlines historical trends and future climate projections, including temperature, sea-level rise, rainfall and wind patterns, supplemented with historical trend analysis and sea-level inundation mapping. Vulnerable areas in Semarang are identified based on information from the community-based vulnerability assessment, sector studies, and several existing studies from local research

institutions and NGOs. These are identified as hazard prone areas (lowland, riverbed, highwind prone, landslide prone), areas in which residents have limited access to piped water, and areas deemed as nodal for city operations: transportation hubs, the business district of Semarang, and historical and cultural assets in the old city. Each of these is considered in light of relevant hazards and specific vulnerabilities. The authors did not include the controversial, citywide risk mapping, but nevertheless provide a strongly geographical analysis.

Partners relied on scenario development was relied on to understand potential future vulnerabilities, and as such constituted a central part of the Semarang revisited vulnerability analysis. Scenarios developed in the resilience strategy refer to specific, technical issues related to future climate and city infrastructure planning. Three areas of concern –dry season (drought), rainy season (inundation), and sea level rise—are introduced, with each scenarios examining how city development projects (extension of piped water, dam and embankment construction, etc.) will affect issues such as water scarcity, flooding, water contamination, saline intrusion, aquaculture, etc. In most cases, the results of these scenarios indicate large gaps between climate, population, and development trends and the solutions currently proposed by current city plans.

The strategy concludes with an outline of 16 proposed interventions. These are categorized by sector: clean water; environment; infrastructure; marine, coastal and fisheries; development of human resources; and development of institutional capacity. Working group members used resilience matrices and qualitative cost benefit analysis tool introduced by ISET to rank each proposed action by priority. Aside from these analytical tools, partners describe other factors impacting this selection process, acknowledging that prioritization reflected strategy as well as absolute perceived importance. Actions areas already underway for government implementation were not prioritized in the resilience strategy, for instance.

The significance of these sectors for Semarang is evident from the vulnerability analysis, particularly in regard to development priority gaps identified in scenarios. But when paired with action, it is clear that each of the presented sectors (with the exception of human and institutional capacity) correspond fairly directly with specific hazards: land movement, sea-level rise, flooding, high temperature and water scarcity, and salinization. In this way, actions connect also to hazards identified in the vulnerability section.

The Semarang strategy stresses the importance of carrying out actions using a community based, participatory approach – especially for decentralized infrastructure oriented interventions. Decentralized actions promoting diversity and modularity, such as rainwater harvesting, wastewater treatment, and water filtration systems, are aimed at enhancing the resilience of vulnerable groups who cannot access centralized systems—but at the same time, the strategies look toward enhancing or developing integrated drainage, waste management, and water supply systems. This focus perhaps reflects the close involvement throughout the process of local NGOs and universities who have close ties to projects in vulnerable communities, the organizational focus of Mercy Corps as a poverty-reduction NGO, and use of the community based assessment.

Finally, the strategy considers links to national and local policy, funding and

implementation opportunities, and planning processes. This includes national policy and specifically the Indonesian Climate Change Sectoral Roadmap (ICCSR), which provides guidelines for mitigation and/or adaptation coordination in water, marine and fisheries, agriculture, health, transportation, forestry, industry, energy, and waste sectors. Authors outline the (complex) process of accessing funding from ICCSR-linked sources, arguing the importance of having a city-level institution "specifically able to support local government to transform ICCSR policies into action." With regard to local planning, the Semarang strategy outlines its Long-term Development Plan (RPJP), but does not explicitly highlight areas of weakness in the existing plans. The strategy does highlight its co-development with Midterm Development Plans (RPJM) and the success in integrating climate change priorities.

Indonesia was arguably the most successful of the ACCCRN countries at embedding and integrating their strategies into local planning. This was due to the strategic configuration and composition of their working groups and pointed effort made by city partners and Mercy Corps to ensure that their strategies corresponded effectively with national and local plans to demonstrate credibility, raise their profile, and increase likelihood of implementation. Both successfully provided informed, climate related input in the midterm development planning process, ensuring that all action areas were included in this plan.

For further reference and detailed information about ACCCRN resources and publications, please visit www.i-s-e-t.org or write to info@i-s-e-t.org.







City Resilience Strategy

SEMARANG's adaptation plan in responding to climate change



Asian Cities Climate Change Resilience Network (ACCCRN)

2010

TAHTA together with CWG (City Working Group) of Semarang City would like to express deep appreciation for the cooperation and trust given by Mercy Corps Indonesia. This activity is one form of support to Semarang City as one of the pilot cities in the ACCCRN (Asian Cities Climate Change Resilience Network) program, which was initiated by the Rockefeller Foundation (RF) and ISET (Institute for Social and Environmental Transformation).

This document is a plan for Semarang City in order to adapt to the pressures and shocks that may occur as a result of climate change. This document is not exclusive in the context of planning for the city because its formulation and format has been synergized with the substances of Semarang's Medium Term Development Plan (RPJM). The final section of this document can be an inspiration for agencies in Semarang City about how they can contribute to climate change adaptation activities. This document is not a static document as this can be quickly adjusted with additional scenarios that may occur.

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1. Introduction

1.1. Background

A significant changing on the climate system that we presently experience is not only an argument. Some evidence from observation and studies mainly conducted by the International Panel for Climate Change (IPCC) has shown that increasing air and ocean temperatures, melting snow and ice, and an increase in sea level is real. UNEP (2009) has published a document that summarizes the important studies and the latest information from the IPCC on global climate change.

It is no doubt that climate change is happening right now as a result of human activity. However the most significant human activity is the activity within the last 50 years all over the world. In that period, natural causes such as solar radiation does contribute in increasing the temperature of the earth's atmosphere. Unfortunately, scientific evidence shows that human activity is the major contributors in comparison with natural causes and they should be responsible for global warming (IPCC within UNEP, 2009). This warming is not only related to temperature but also causes extreme changes in other aspects that have effects on humans.

As it has been shown in the Vulnerability Assessment, global warming is a real phenomenon in Semarang City. Based on two scenarios, the city's temperature showed an increasing trend so did the seawater elevation. Changes in temperature also create opportunities for change in the intensity of precipitation, especially during the rainy season, which is predicted to increase. This extreme climate change can contribute to the occurrence of floods in Semarang City. Meanwhile, sea level rise will exacerbate flooding problems and seawater inundation that already exist.

Besides flood and inundation as one of the most obvious form of climate change in Semarang City, it has also been confirmed that climate change also increases the risk of landslides, drought, and abrasion in a number of areas in the city.

These catastrophic events, in a large scale, lead to a concern that it might affect the sustainability of city development. In a smaller scale, the impact of climate change can happen on the community groups in particular areas of the city, especially the poor and marginalized groups.

Asian Cities Climate Change Resilience Network (ACCCRN) in Semarang City has exceeded a number of milestone achievements. These achievements begin with the completion of vulnerability assessment (VA), implementation of pilot projects for climate change adaptation and sector studies. Prior to the implementation of climate change adaptation in the city scale, all these achievements are very important to be studied more in depth and followed up through the preparation of City Resilience Strategy (CRS). Therefore, the CRS document within the ACCCRN framework is a basic foundation for the future intervention projects and activities to increase Semarang City's resilience to climate change.

Rockefeller and ISET (2010) define resilience as the ability of a system to withstand from any pressures and shocks and a system's ability to maintain its function. The city is a system that must be made resistant (resilience). Resilience and adaptation are very important because of the vulnerability of urban systems. As a system, the city consists of several regions (sub- systems) that each of them has different functions and elements. As a system, each sub-system was connected to each other and together creates a functioning city. An extreme damage to one of the sub-urban system or one part of urban areas will be able to affect other sub-systems, even the overall system. Basically, A resilient system is expected to maintain the resilience of the city's main functions of the various forms of pressure and shock resulting from the impacts of climate change. The resilient system also allows the city to make a quick recovery from such impact.

From practical perspective, CRS document can also be viewed as a roadmap to prepare the city in dealing with the worst scenario which may arise from climate change. Without the resilience strategy document, the functions of urban systems and vulnerable group will be threatened.

2

1.2. Goal

The purpose of the CRS document of Semarang City is to produce a number of strategies to cope with climate change impacts that will be integrated into urban development policy.

1.3. Objectives

To produce the above strategy, the following are a number of targets to be achieved:

- 1. Identifying the existing conditions of climate change impacts, vulnerability, and vulnerable groups in Semarang City
- 2. Developing urban development and climate change scenario and their consequences on the future
- 3. Formulating resilience strategies at city level in a multi-dimensional perspective
- 4. Translating strategies into several adaptation actions to enhance resilience
- 5. Prioritizing adaptation activities and preparing draft proposal of the prioritized actions.

1.4. Scope of Planning

The coverage of city resilience strategy (CRS) will include:

First, a review on the results of studies of climate change impacts and vulnerability (VA) of Semarang City. The review would be sharpened by further discussion on current issues of city planning and development, which is dynamics and keep changing. Therefore, the review of climate change and vulnerability will be focussed continue to see its relevance to current issues and emerging development plan in the city of Semarang.

Second, formulating a series of resilience actions or intervention strategies. This is the most important part of the whole process. In this formulation, every single actions will be assessed: (a) their contribution qualitatively or quantitatively in creating resilience of the city, (b) their benefits for

vulnerable groups, (c) the role of government and stakeholders to support, and (d) their association with other activities.

Third, prioritization of intervention strategies and resilience actions. The prioritization includes a comparison of every single the activities or strategies with a set of agreed prioritization criteria. The strategies and actions that have been prioritized is equipped with a draft proposal and their monitoring and evaluation mechanisms. Table 1.1 below will give a systematic explanation of the scope of CRS document preparation.

Table 1.1: CRS Document Scope

| Scope 1: | Scope 2: | Scope 3: | Scope 4: |
|---|---|---|--|
| Review of Climate Impacts Assessment and Vulnerability | Strategy Formulation & Proposed Action Plans | Prioritization of Action plans | Annex Draft Proposal |
| Synthesis document's ACCCRN (VA, CBVA, Sector Studies, Pilot) | Development of climate and city scenarios | Development of prioritization criteria | Outline budget of the prioritized activities |
| Additional issues of | Produce the series of activities to create | Comparative analysis of | Preparation of timeline |
| SLDs | resilience | the proposed activities | Establishment of a leading agency /sector |
| The actual and dynamic issues in urban development | Assess the contribution the proposed activities | Identify of complementary activities and actors | |
| Supporting studies | Identify benefits for vulnerable groups | Development of M &E mechanisms | |
| | Role of stakeholders | | |

Source: Rockefeller Foundation and ISET (2010)

1.5. City Resilience Strategy Planning Stages

The methodology developed in the preparation of CRS document in Semarang City is not different from the method that has been developed by the Rockefeller Foundation and ISET (2010). However, a little simplification was applied to adjust with the development planning process and realities that took place locally. Firstly, the process began with the preparation phase and was followed by brainstorming stage. The next stage was consultation and prioritization. Finally, the stage was ended up with the preparation of documents and draft proposals. The general approach in the preparation of the CRS of Semarang can be explained as follows:

1.5.1. Preparation.

This stage is similar to 'plan for planning'. This phase includes efforts to bridge the gap of perception and knowledge among the City Working Group (CWG) and between CWG and the Technical Team (City Team) on ClimateChange Adaptation of Semarang. Besides, this early stage also begins with the gathering of support and synchronization with the preparation of City's Mid-term Development Plan 2010-2014.

This activity is conducted through a workshop to conduct a comprehensive review on the results of: (1) SLD; (2) VA, (3) Sector Studies and (4) lesson learn from the Pilot Projects. Besides, the workshop also examines the trend of development and urban planning that will affect on the adaptation strategies and actions. The participant of this workshop was CWG and City Team. This workshop also introduced the participant about the city resilience concept and practice and its formulation process.

From this workshop the knowledge gap between the CWG and the city team was minimized and the contribution of each party in CRS preparation context was clarified. At this stage, communication and coordination with the City Mid- term Development Plan's drafting team was also conducted through several of informal workshops meeting.

1.5.2. Brainstorming

The second activity is an activity that is dedicated to develop resilience strategies. In general, city resilience can be achieved by various activities adaptation, both physically or non physically and of various dimensions such as infrastructure, environmental, socio-economic, or institutional. However, to be relevant and have a strong base, the formulation of adaptation strategies and actions should be based on the results of climate scenarios. Therefore, the activity is manifested in a series of brainstorming workshops. In the first series, the aim of workshop was to develop climate scenarios. The scenario was linked with the tendency of urban development in Semarang City. The second series, the workshop aimed to formulate a strategy and action in multi- sector resilience based on previous generated urban and climate scenarios.

1.5.3. Consultation and Prioritization

The third activity, consultation and prioritization, is intended to verify the formulated adaptation strategies and actions to improve city resilience. This phase also included several workshops. In prioritizing the adaptation strategies to climate change, Qualitative Methods Cost and Benefit Analysis was applied. Besides, this stage is also intended to gain legitimacy from the target groups and to get a further operational input from expert in each prioritized strategies or actions.

1.5.4. Finalization of City Resilience Strategy Document

This phase is the last technical activities within the CRS preparation framework in Semarang City. The proposed strategies and action to resilience is integrated with the normative mid-term development planning documents (RPJMD) in term of its format or association with other grand strategy in the normative document. The proposed strategies and actions consider their consistency with other documents (such as RTRW City). It is expected that the CRS document does not collide each other and then can be used by government agencies in the translating the CRS into of annual activities. In finalizing this draft proposal also included the preparation of a strategy or action adaptation increased durability. In this stage, a concept proposal of prioritized strategies and actions is developed as a complement of CRS document. The concept proposal can be detailed as a proposal that may be filed by various parties involved in the preparation of the CRS from local

government, central, and international donors. The concept proposal will include prioritized activities, budget requirements, timeline or work schedule, and responsible parties (PIC). Thus, resilience strategy will consist of a series adaptation of action and come with priority adaptation action proposals. And no less important, urban resilience strategy is a document that can connect and coordinate additional activities to donor funding. From the above explanation, the stages of preparation of the CRS in the city of Semarang can be illustrated in Figure 1.1 below.

Figure 1.1: Approach to Development of CRS in Semarang City

PREPARATION Bridging perception gap: CWG and City Team on CRS Coordination with the core team of Mid-term City Development Plan (RPJMD) BRAINSTORMING Development of climate and development scenarios Formulation of adaptation strategies and actions

FINALIZATION

- Writing the document
- Preparation of draft proposal

CONSULTANCY AND PRIORITIZATION

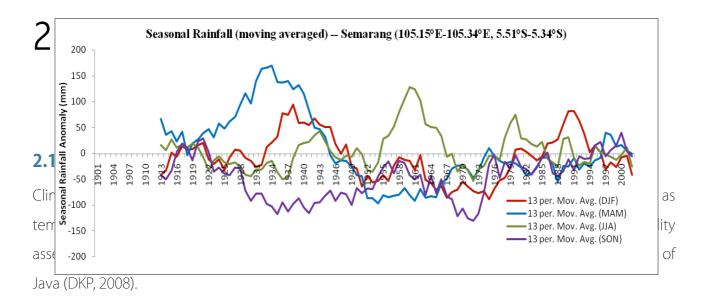
- Workshop with the target group and the expert meeting
- Prioritization

1.6. The CRS Document Organization

The CRS document of Semarang City consists of 6 Chapters. After this introduction the second chapter contains the description of Semarang vulnerability to climate change in which would

include climate change scenarios in the city, its impact on the internal system of the city, and description of vulnerable groups. Furthermore, the third chapter of this report will describe the direction and policy development within the city that includes the existing policy in response to climate change impacts and issues of actual and dynamics of development as the basis for the development of Semarang city scenario.

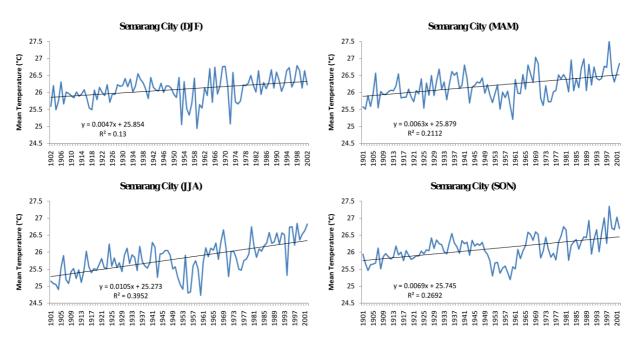
The fourth chapter describes the development of climate scenarios and scenario development of Semarang. From the development of this scenario, a synthesis process is conducted to determine sectoral coverage of issues related to climate change in the city of Semarang. The fifth chapter contains the translation of resilience strategies and actions derived from the development scenarios. The detailed elaboration of proposed strategies and actions are grouped by related sectors and followed by prioritization. The last chapter contains a framework for implementation and monitoring and evaluation of the proposed resilience strategies and actions.



2.1.1. Temperature

Based on the CRU TS2.0 temperature data extracted for Semarang, CCROM-IPB (2010) found the increasing trend of mean temperature in each season in the last 100 years (Figure 2.1). In the wet season (DJF), the mean temperature increased from 25.9 to 26.3 degree C, while in the dry season (JJA) it increased from 25.3 to 26.3 degree C.





The increasing trend is also related to the presence of increase in daily maximum temperature trends (Figure 2.2). In the wet season (DJF) the maximum temperature increased from 31.4-31.9 degree C; while in the dry season it increase from 31.2 to 32.2 degree C. In addition, it is found that the daily temperature range (DTR) showed a downward trend (Figure 2.3) indicating that the increase of daily minimum temperature is more rapidly occurred than the maximum temperature.

Figure 2.2: Average of Maximum Temperature in Semarang City 1902-2002 (CCROM-IPB, 2010: 45)

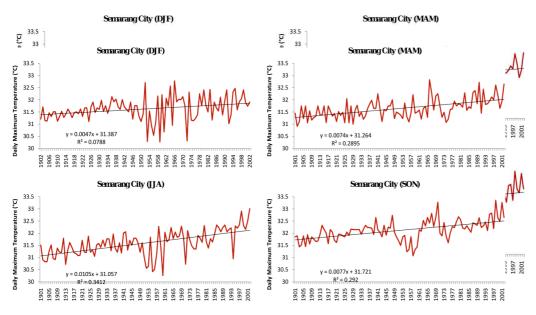
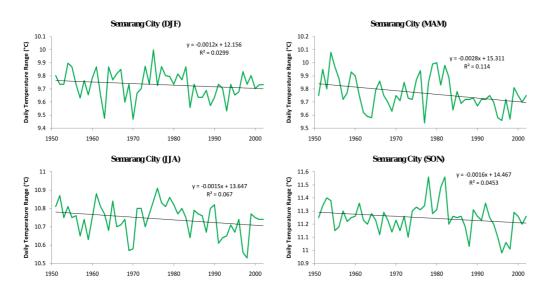


Figure 2.3: The Decreasing Trend of DTR (Daily Temperature Range) in Semarang (CCROM-IPB, 2010: 42)



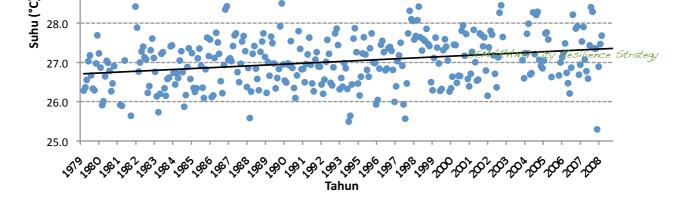
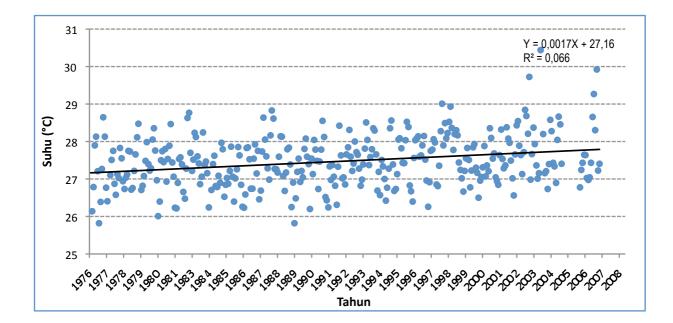


Figure 2.4: The Increasing Trend of Temperature in Semarang City (DKP, 2008)



Another study from DKP (2008) shown that in the last 30 years, the monthly average temperature in the Semarang Region can be seen in Figure 2.4. The figure shows that monthly average temperature from 1977 to 2007 increased slightly and quite significant. Linear equations obtained from the graph is Y=0.0017 X + 27.16 (where x = month x starting from January 1977). From this equation it can be seen that the increase in average monthly temperature for 3 last decade is about 0.62 C or 0.02 degree C annually. Changes in air temperature in the Semarang Region is expected to increase, even for 100 years prediction air temperature in this region will rise 2.7 degree C from 2007 temperature.

Mer while, studies conducted by CCROM-IPB (2010) mentions that the increase in temperature is estimated to range between 0.5-0.7 degree C in 2025; between 1.1-1.2 C degree in 2050, and between 1.9-2.9 C degree in 2100. These prediction are relative to temperature in 2002. There is a bit difference between in the analysis of temperature change scenarios. Changes in temperature as indicated on the following table will lead some others changes in precipitation intensity, especially during the rainy season which is predicted to increase.

| | 2000 | 2025 | 2050 | 2100 |
|------------------|-----------|---------|---------|----------|
| SRESA2: moderate | 0.2 | 0.5 | 1.2 | 2.9 |
| Range | 0.15-0.25 | 0.3-0.7 | 0.8-1.6 | 2.0-4.1 |
| SRESB1: moderate | 0.2 | 0.7 | 1.1 | 1.9 |
| Range | 0.15-0.25 | 0.5-0.9 | 0.7-1.6 | 1.2-2.27 |

Table 2.1: Temperature Scenario inSemarang City (CCROM IPB, 2010)

2.1.2. Rainfall

Based on 100 years rainfall CRU TS2.0 dataset, it is known that rainfall in Semarang City and number of rainy days is very volatile. However, in general it is known that rainfall increased in its intensity on the wet season (SON and DJF). In DJF, the seasonal rainfall increased approximately from 950 to 1000 mm, while in SON it increased from 250 to 300 mm (see figure 2.5). The upward trends of rainfall during wet seasons (SON and DJF) are associated with increasing trends of wet days frequency at the same seasons, from 44 to 47 days in SON and from 67 to 68 days in DJF (see figure 2.6). This indicates that the increasing rainfall during 20th century over Semarang city is caused by the rains that came more often, raising the probability of floods in the region.

In contrast, a downward trend appears in dry season (MAM), indicating a decrease of wet days frequency from 70 to 67 days that is associated with greater chance of dry season that comes earlier (see figure 2.5 and 2.6). For the wet days frequency during JJA, the trend seems to be relatively flat at 40 days with a slight increase.

CCROM-IPB (2010) also provides threshold analysis of rainfall of Semarang City and concluded that the flooding would occur if rainfall is 302 mm or above, while drought will happen if rainfall is less than 84 mm. Unfortunately, a future projection on rainfall and the number of wet days is not available in Semarang City. This report then assumed that the dry season will increase one month longer than the trend and the rainy season will increase up to 2 months longer than the current trend.

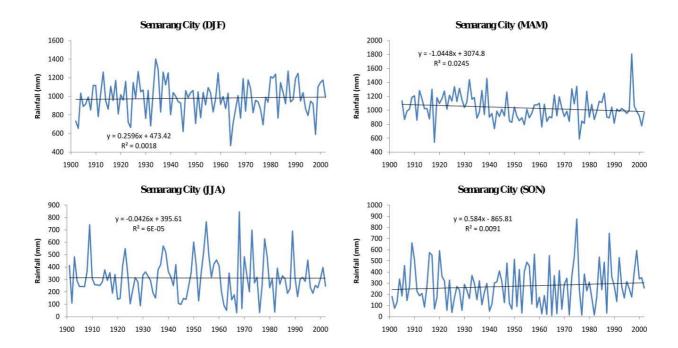
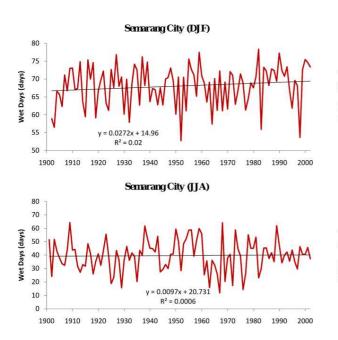
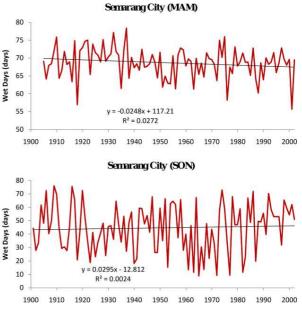


Figure 2.5: Trends of Seasonal Rainfall in Semarang City (CCROM IPB, 2010: 42)

Figure 2.6: Trends of Seasonal Wet Days Frequency in Semarang City (CCROM, 2010: 43)





2.1.3. Increase in Sea Level Rise

The elevation of Semarang seawater continued to rise from 1985 until the year 2008 (Figure 2.7). Sea level rise in Semarang Coast from the year 1985-1998 is 58.2 cm, with an average annual sea level rise at 4.47 cm/ year (DKP, 2008). Later in the year 1998-2003 sea level decreased. But the declining in sea level that occurred from the years 1998-2003 are considered not valid due to the instrument failure (DKP, 2008). Based on water level data from year 2003-2008 it was known that sea level trend turned high again (Figure 2.7). While for sea level rise in Semarang waters year 2003 -2008 is 37.2 cm, the average sea level rise per year is 7.43 cm/ year (DKP, 2008).

In order to know the level of sea level rise due to the influence of global warming in Semarang, the difference between the rise sea water with a total value of land subsidence on the location of tidal stations were calculated. Data of sea level elevation sea years 1985-1998 and 2003-2008 were used. The calculation of sea level rise due to global warming by considering the reduction of land subsidence is about 5.165 cm/ year, so rising sea levels due to global warming is about 7.8 mm/year.

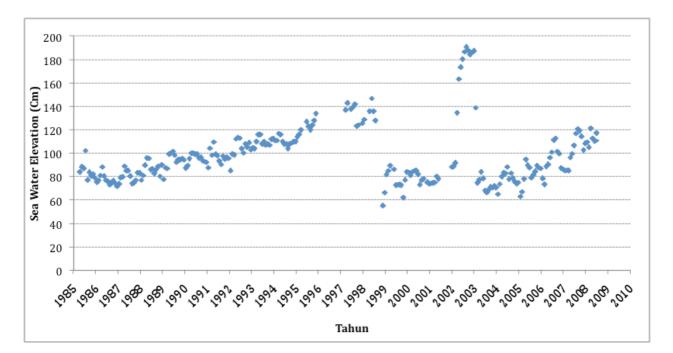


Figure 2.7: Sea Elevation in Semarang City 1985-2008

Source: Bakosurtanal Data analyzed by DKP (2008)

Meanwhile, the vulnerability assessment (VA) estimates that the average increase in sea level elevation will approximately at 21 cm in 2050, and 48-60 cm in 2100. Here is a table of climate change scenarios obtained previously from the VA in Semarang City (CCROM IPB, 2010).

2100 SRESA2: moderate 2 10 21 60 15-112 0-4 4-20 9-41 Range SRESB1: moderate 2 10 21 48 0-4 4-22 9-42 18-85 Range

Table 2.2: Sea Level Rise Scenario in Semarang City (CCROM IPB, 2010)

Source: CCROM-IPB (2010)

Estimation made by DKP slightly more pessimistic than the estimation of CCROM-IPB, with the SLR difference about 20 cm in 100 years to come. However, DKP provides more complete spatial analysis information about the consequences of such changes. With the estimated level rise sea water of 0.8 m for the next 100 years, the estimated sea water inundation in Semarang City will reach distances ranging from 1.7-3.0 km to the ground, where the total inundated area will up to 8537.9 ha.

Figure 2.8 (a): Sea Water Inundation in Semarang City in The Next 100 Years with SLR Estimation at 80 cm



Figure 2.8 (a) shows a simulation of sea water inundation over next 100 years in Semarang City. The dark blue color in the eastern and western part of city represents 20 cm inundation. The dark blue color becomes lighter overtime. The lightest represents 80 cm inundation. The blue gradation in between represents 40 cm and 60 cm sea water inundation

Figure 2.8 (b) illustrates the projections of the range of pressure from sea level rise to Sema-

rang City areas. The range is between 1.7 km (the shortest) in the north eastern part of city and 3.2 km (the furthest) in the northern

of Semarang.

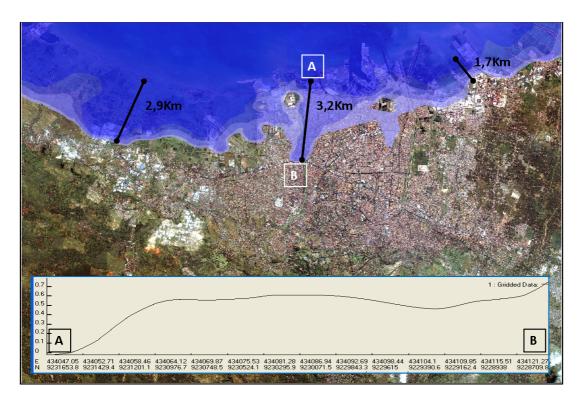


Figure 2.8 (b): Sea Water Inundation in Semarang City in the Next 100 Years with SLR Estimation at 80 cm

Source: DKP (2008)

2.1.4. Wind Pattern

Study of DKP (2008) mentioned that the average distribution of wind direction in Semarang City from 1977 to 2008 was not dominated by a particular direction because the percentage wind distribution is almost the same (for wind direction mostly from the Northwest, North, East and Southeast). The wind speeds from 1980 to 2008 ranged between 1-3 m / s (75.2%). In addition, DKP (2008) divides the season in Semarang City into 4 seasons, namely West season, Transition season 1, East season and Transitional season 2. During the West season the domination of wind coming from the west-northwest direction and with speeds between 1-3 m/sec (70%). In Transition season 1, the largest direction of the wind coming from the North, East and Southeast with the average wind speeds between 1-3 m / s (70.7%).

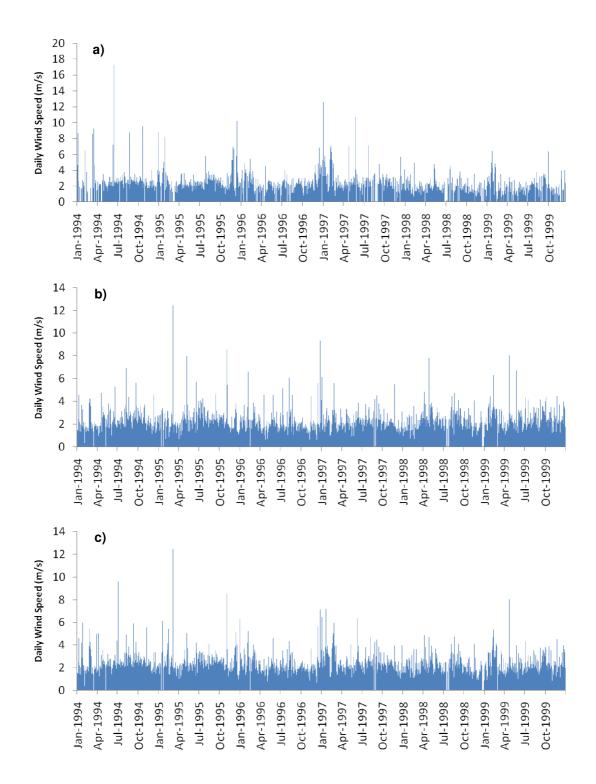


Figure 2.9: Data of Wind Speed in Semarang City 1994-1999 (CCROM IPB, 2010)

In East season, the domination of winds blow from the East and Southeast. Wind blowing to eastward is faster than the wind that comes from Southeast direction. The average wind speed is vary between 1-3 m/ s (77.9%). In transition season 2, the condition is almost the same with transition season 1, however the largest distribution of the wind coming from four directions namely North West, North, East and Southeast. The range of average wind speeds at 1-3 m / s (78.4%). In general there are no significant changes in term of wind patterns in Semarang City, where the domination of winds direction from the year 1977-2008 is from the East and Southeast.

Besides the influence of extreme climatic events caused by climate variability between years, the Semarang City is also influenced by extreme weather conditions, like high winds. Based on data record collected daily from the weather station and Ahmad Yani Airport in Semarang, CCROM IPB (2010) states that the extreme wind speeds tend to occur locally (Figure 2.9). At the Semarang station, extreme wind speed above 60 km / h (17.2 m / s or 62 km / h) occurred on June 15, 1994, while the highest wind speed at station Ahmad Yani Airport occurred at 5 March 1995 (12.5 m / s or 45 km / h).

2.2. Vulnerable Areas and Its Impact

Community vulnerability assessment which has been done previously also indicated a number of characteristics of vulnerable areas in Semarang City. Vulnerable areas and its possible impacts can be grouped as follows (Mercy Corps, 2009):

- 1. Lowland regions which are exposed to coastal flood and sea level rise
- 2. Settlement areas located in riverbed which are exposed to flooding
- 3. Hilly areas which are exposed to high winds
- 4. Areas which is exposed to land movement and landslides
- 5. Neighborhood residential areas on the city outskirts which far away from water sources

In addition to above categories, there are other areas that are important to consider as a vulnerable area since they has a broad impact on Semarang City. These categories include (Setiadi and Kunarso, 2009):

- 6. Areas as a node of movement (Such as airports, seaports, train stations, and terminal)
- 7. Functional central business district (with emphasis on the areas of business, trade and industry)
- 8. Historical area and cultural assets (old town area of Semarang)

The following is the explanation of each categorization of the above vulnerable areas .

2.2.1. Lowland Regions which are Exposed to Coastal Flood and SLR

Neighborhood residential located in coastal areas which are threatened by inundation as a result of sea level rise have nearly 300 thousand inhabitants. It is estimated that the total area which will be flooded is approximately 7,500 hectares. The following table presents sub-districts and the total area in each district that will be flooded due to sea level rise:

| District | Sub-District | Area (Ha) | % Area of District |
|-------------------|--|-----------|-----------------------|
| Genuk | Trimulyo, Terboyo Wetan, Terboyo Kulon, Muktiharjo Lor, Genuksari, Gebangsari, Bangetayu Kulon | 1892.4 | 1.65 |
| Tugu | Mangkang Kulon, Mangunharjo, Jerakah, Tugurejo | 1952.1 | 0.56 |
| Semarang Utara | Panggung Lor, Panggung Kidul, Bulu Lor, Purwosari, Plombokan, Bandatharjo, Kuningan, Dadapsari, Tanjung Emas, Plombokan, Panggung Kidul, | 1481.2 | 4.95 |
| Semarang Barat | Karang Ayu, Tawangsari, Tawang Mas, Gisikdrono, Krobokan, Tambakharjo, Krobokan, | 1287.1 | 2.22 |
| Gayamsari | Kaligawe, Tambak Rejo, Sawah Besar | 257.3 | 0.98 |
| Semarang Timur | Kemijen, Mlatibaru | 184.7 | 0.23 |

| District | Sub-District | Area (Ha) | % Area of District |
|--------------------|----------------------------------|-----------|-----------------------|
| Pedurungan | Muktiharjo Lor, Muktiharjo Kidul | 431.7 | 0.12 |
| Semarang Tengah | Pandansari, Purwodinatan | 280.1 | 0.13 |
| | TOTAL | 7487 | 10.85 |

Source: Setiadi and Kunarso (2009).

Similarly, fishpond areas in Semarang City will be flooded as a result of sea level rise. 44.5 hectares of fishpond areas in Semarang City is expected to have stagnant water. It is also possible that the fisheries sector of Semarang City will experience a decline in production. The decrease of production in the fisheries sector will have a derivative impact on population livelihood especially fish farmers. Today approximately 2,500 inhabitants (not including dependent family members) who have livelihoods as fish farmers would be threatened with losing their jobs in the future. Information on the number of people displaced due to sea level rise are not available. However, migration data in the last five years showed a decreasing number of net migration in the inundated areas.

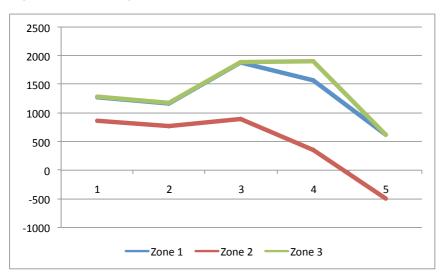




Figure 2.10 shows a decreasing trend in the net migration of the areas of Semarang City that are potential to inundation due to SLR. Unit data at sub-district level from BPS (2003-2008) were used to develop this figure. Zone 1 consists of some sub-district that is currently inundated, while zone 2 and 3 consist of sub-district that will be flooded by SLR in the medium (at 35 cm SLR) and long term (at 65 cm SLR) respectively. Since 2005 (axis 3) the net migration decreased indicating that less people come to the areas. It also possible to say that the areas have not attracting for migration anymore. Even in some sub-districts have negative net-migration.

Source: Setiadi and Kunarso (2010)

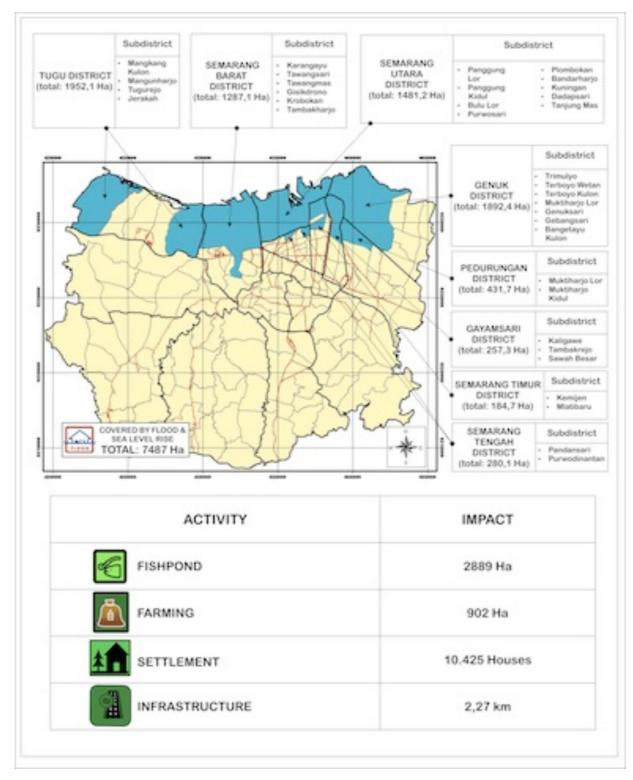


Figure 2.11: The Impact of SLR in Semarang City

An economic valuation study on the impact of SLR in general has been carried out by the Marine and Fisheries Ministry or DKP (2008). A more detailed economic valuation study on the impact of SLR and flooding in dense residential areas of Semarang has been done by the BINTARI foundation (2007) and it is then updated by the Sectoral Studies Mercy Corps (2010). Table below summaries the economic loss as a result of sea level rise and flooding in Semarang City.

Table 2.4: Economic Valuation of Coastal Degradation (DKP, 2008)

| Type of Activities | Impact | Economic Loss (IDR) |
|--------------------|---------------|---------------------|
| Mangrove Ecosystem | Hectare | 729,351,612* |
| Fishponds | 2,889 Hectare | 110,937.600 |
| Rice Fields | 902 Hectare | 29,221,560,000 |
| Settlements | 10,425 Houses | 208,500,000.000 |
| Infrastructure | 2.27 Km | 5,602,961,405 |

Note: * calculated from the total economic value (Per Ha/Year)

Table 2.5: Annual Average Economic Loss Each Respondent due to Flood and SLR in Kemijen Sub-district

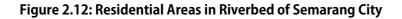
| Assessment Aspects | Year 2007 (IDR) | Year 2010 (IDR) |
|--------------------|-----------------|-----------------|
| Housing | 5,000,000 | 5,004,000 |
| Productivity | 1,000,000 | 10,800,000 |
| Education | 0 | 1,110,000 |
| Health | 0 | 1,440,000 |
| TOTAL | 6,000,000 | 18,354,000 |

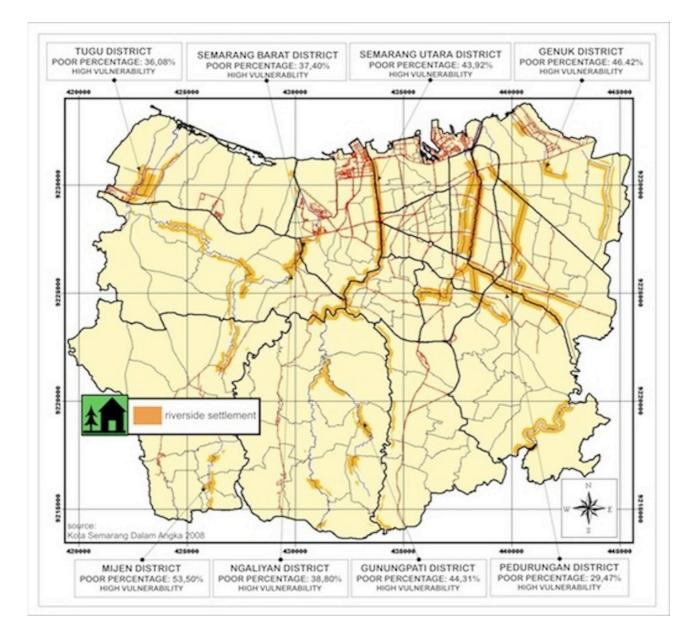
Source: Bintari (2007) and PLRT FT UNDIP (2010)

The economic loss of education and health had not been emphasized by 2007 study but it is in 2010 sector study. In the later study, economic loss of education sector is calculated from the disfunction of school properties and additional transport cost to children who study outside of Kemijen Sub-district. The economic loss of health sector is counted from the people expenses to medical service following the flooding. The loss of productivity is calculated from the direct income loss due to flooding. The differences of economic loss due to the number of days off as a result of flooding increased significantly. On the other hand, the average income of people in Kemijen is relatively stagnant.

2.2.2. Settlement Areas Located in Riverbed which are Exposed to Flooding

Residential areas located in flood plains areas are also classified as areas vulnerable. The following figure is the map of settlements around the banks of the major rivers in Semarang City.





2.2.3. Hilly Areas which are Exposed to High Winds

As a result of extreme weather, high winds also often provide a serious threat to Semarang City. There is no accurate record of the intensity of this event. However, strong winds incident was encountered by some sub-district in the hilly area of Tembalang District, such as Sendangguwo, Bulusan, and Tandang. On the other hand, high winds in the lowland areas have occurred in Tugurejo Sub-district, Tugu District and Tanjung Mas Sub-district in North Semarang District. However, the most intense incident located at Tandang Sub-district.

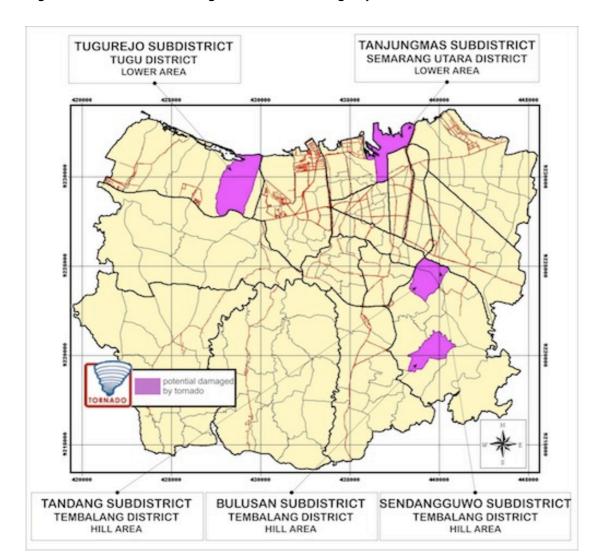
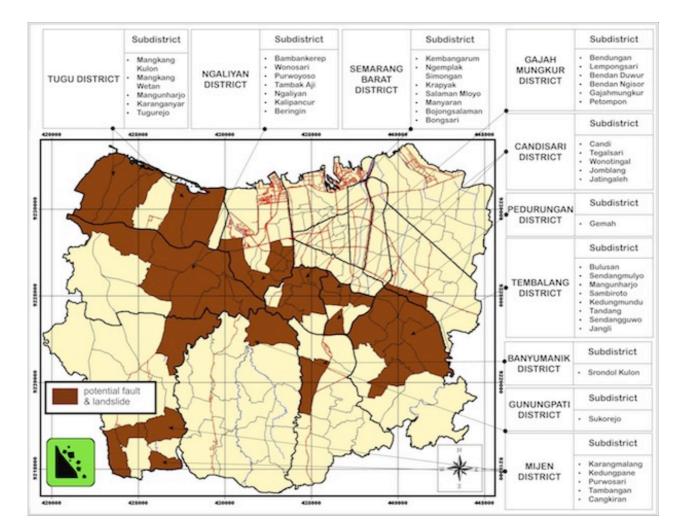


Figure 2.13: Areas Prone to High Winds in Semarang City

2.2.4. Areas which are Exposed to Land Movement, Landslides, and Abrasion

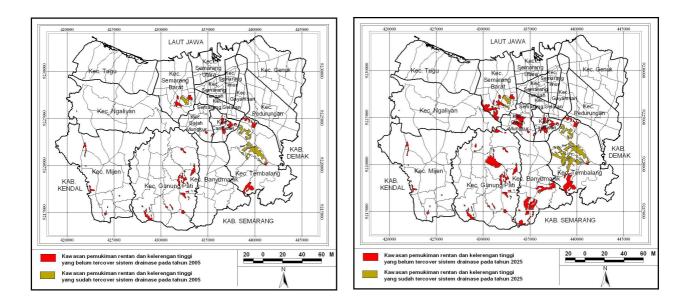
A more intense rainfall in the wet season due to climate change could exacerbate the possibility of land movements and landslide in some hilly areas of Semarang City, while the wave circulation leads to abrasion in western part of coastal areas. The following figure shows areas of Semarang City which are prone to soil movement, landslides, and abrasion based on a study that have been conducted by CCROM IPB (2010).



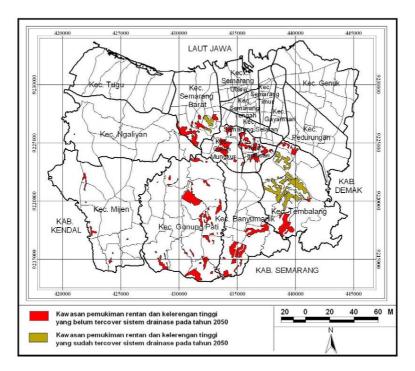


The result of sector studies (FT PLTR UNDIP, 2010) recommend a specific site which are crucial to be managed to deal with catastrophic landslide. These locations are priority to handle because: (1) the

settlements have a slope above 25%, (2) at the same time they do not have an appropriate drainage network, and (3) the location itself is in the high vulnerability category based on the VA study. The following map is the specific site in question.

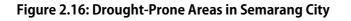


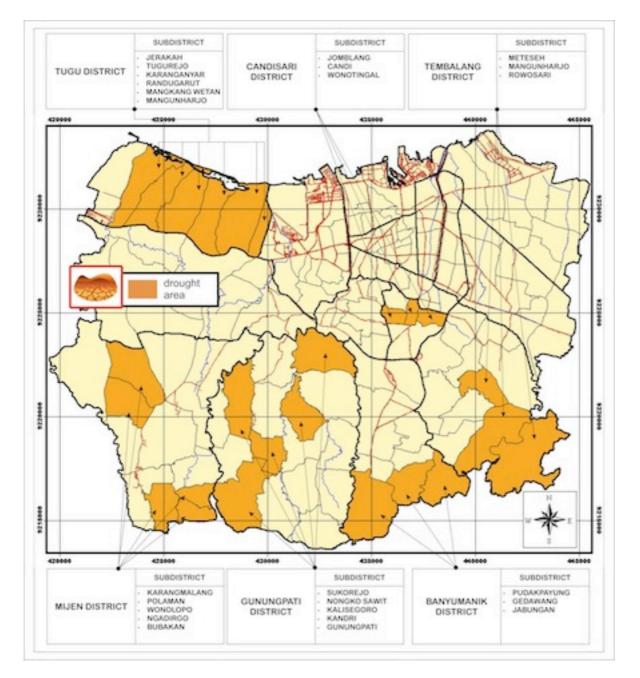




2.2.5. Settlement Areas in Urban Fringe which Far away from Water Sources

CCROM IPB (2010) identified a number of Semarang City's areas experiencing drought because they are far away from water sources. The identification results can be seen from the following map.

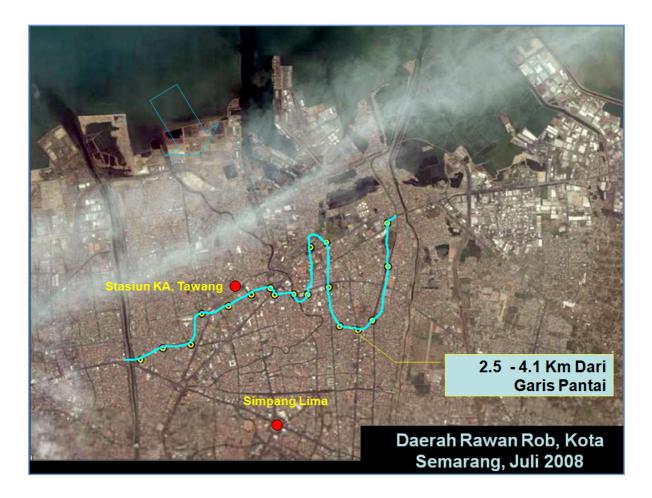




2.2.6. Areas as a Node of Movement

Transportation facilities which are vital to Semarang City such as Ahmad Yani Airport, Tanjung Mas Harbor, Tawang Railway Station, and Terboyo Bus Terminal are located in potential-flooded areas. Ahmad Yani Airport has experienced paralyzed in a day because of heavy rains that triggered flooding on the runway. Tawang Railways Station also experienced the same story. This strategic infrastructure, particularly Tawang Railway Station, Tanjung Emas Harbor, and Terboyo Bus Terminal often suffered from flooding and high tide. These facilities are the pulse of the movement of people, goods, and commodities that are vital to the urban economy. The following figure shows where one of node movements areas in Semarang City threatened due to climate change .





Source: DKP, 2008

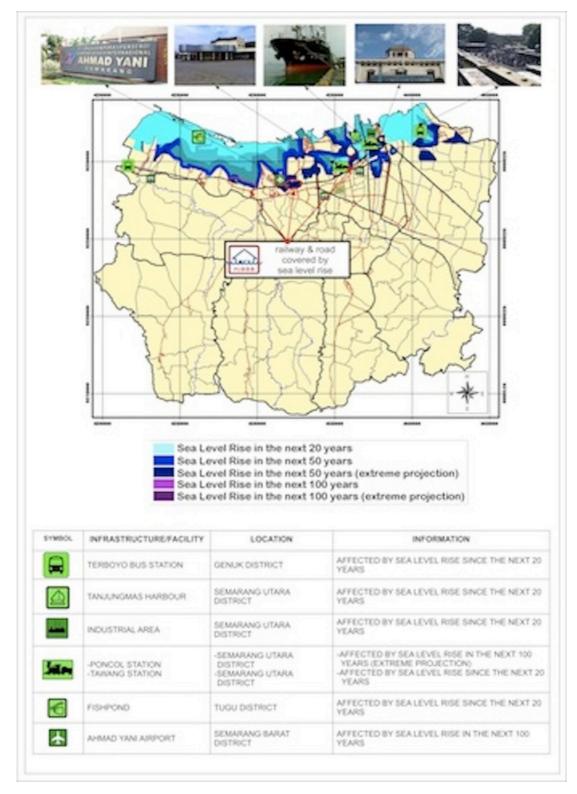


Figure 2.18: Nodes of Movement which are Prone to Increasing of SLR

Sea-level rise has influence to the increasing of Wave Set-up and Wave Run-up contained in the vertical sea wall port building (on the eastern part of Semarang's west flood canal). Based on the calculation of sea level rise average at of 8 mm/ year with 20 years simulation, 16 cm sea level rise would increase the Wave Set-up and Wave Run-up at 4.1 cm or 10.59% and at 7.7 cm or 1.51% respectively. In contrast, with the same assumption, there would be a decreasing in security factors (FS) equal to 0.37 cm or 18.45%.

If it is calculated over the next 100 years when there is 80 cm sea level rise, Wave Set-up and Wave Run-up will rise by 10 cm or 51.73% and at 1.6 m or 31.64% respectively, while safety factors will at 1.16 m or 57.67%. This means that the higher its SLR the greater both wave set-up and run-up, but the lower its safety factor (DKP, 2008).

2.2.7. Functional Areas of Central Business District

The condition of seawater inundation in Semarang City has reached an alarming stage. Besides as the impact of sea level rise, such condition is exacerbated by land subsidence. The inundation infiltrate into the mainland through urban drainage networks, which their conditions are unfavorable. The impact caused by seawater inundation is very broad such as: disruption of industrial activities and regional markets. Inundation in Semarang City and its impact to functional areas of central business district can be seen in Figure 2.19.

2.2.8. Industrial Areas

In the northern areas of Semarang city there are a number of industrial areas, particularly in District Genuk and District Tugu. Based on projections of sea level rise, the industrial area will be flooded. These industries consist of various kinds, ranging from household industries, small industries, to large industrial companies. If these industrial areas flooded so it would result in some impacts, including (Setiadi and Kunarso, 2009): (1) existing production activities will inhibited, (2) import-export activities will be disrupted, (3) coastal areas are not attractive anymore as an interesting location for investment, (4) reduced demand for labor in the industrial sector, and (5) regional income declining. The industrial area that will be flooded approximately 108.2 Ha. Threatened industrial areas in the

northern part of Semarang due to rising sea levels also has an impact on livelihoods, especially those who are working as laborers. In addition, the number of workers as industrial workers in the area will be around 65,737 inhabitants. They are people who are vulnerable to loss their source of income when their livelihood collapsed. In the long-run, this might decrease the city economic performance and reduce the quality of life.

GENUK INDUSTRIAL AREA TUGU INDUSTRIAL AREA JOHAR TRADITIONAL MARKET SIMPANG 5 AREA SEMARANG TENGAH DISTRICT GENUK DISTRICT TUGU DISTRICT SEMARANG CITY 4250 ----1225000 0225000 9220000 179994 commerce & service 0003124 9210000 area industrial area 425000 430000 435000 440000 420000 445000

Figure 2.19: Semarang's Functional Areas which Threatened by Climate Change

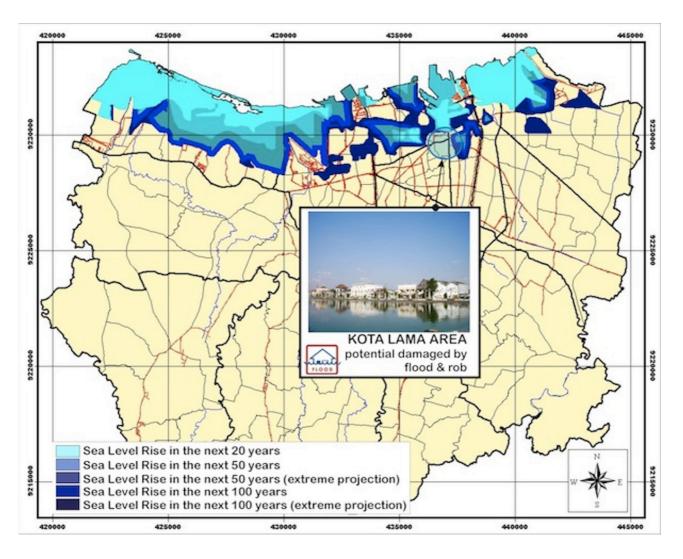


Figure 2.20: Semarang's Old Town which are Threatened as a Result of Climate Change

2.3. Vulnerable Groups

From the above explanation, it is clear that climate change is closely related to disasters such as landslides, high winds, and drought. The rising sea levels and high precipitation followed by flooding is not only result in physical impacts to the city, but also impact on social, economic, cultural, and public health. The impacts may lead to ineffectiveness of government and community investment in the region.

A number of vulnerable groups in Semarang City has been identified and they need to be considered in the preparation of city resilience strategy. These vulnerable groups consist of (Mercy Corps, 2009: 9-11):

- Urban poor residents. Urban poor residents are considered vulnerable since they often occupy those areas of the city that are the most exposed to risk. Seeking areas which are inexpensive, easy to occupy and close to employment opportunities the urban poor often are forced to settle in places that are precarious, such as riverbanks, steep hillsides, beside train tracks, on abandoned land or on unsuitable land (rubbish dump sites for example). Since there is often no legal recognition of these settlements, or at the very least they are tolerated by city governments for their temporary status, they occupy an ambiguous situation. They are not fully recognized and yet they are allowed to remain, so few public investments are made and their vulnerability persists.
- Groups of families moved in government relocation programs. Government's relocation program in the past was not well prepared. Families who have been moved from one settlement to another by government relocation programs are particularly vulnerable given the sudden changes to their lives and the difficulty in restarting life in new surroundings. A number of people who are currently living in Tandang and Sukorejo Sub-district are the example relocated community as the government implemented major improvement on drainage system (Semarang's western flood canal). Often without access to jobs, little capital and having been uprooted and dislocated from their previous communities, these communities often suffer prolonged hardship in starting up their lives anew. As a result they live in precarious circumstances, with little or no social safety net to support them if they fail to adjust to their new communities.
- People who live in locations that will be the site of major infrastructure projects. Given the continually development and demands of major infrastructure projects in Semarang-such as large pumping facilities, dam, toll road, riverbank restoration, newly industrial and housing estate, etc-continually create demand for areas of land for development. Cities continually are redeveloping plots of land. Those who have settled on these lots often do not have legal tenure and little

recognition are vulnerable to being moved without just compensation or the opportunity to negotiate a favorable outcome.

- Residents who depend on the industry in the lowlands. Those communities that are dependent on specific industries, such as the port, fishing, and manufactures are vulnerable since they are very reliant on jobs coming from that one sector. This is for two reasons: (i) if there was to be a sudden change in the conditions of that market these communities are situated with few other economic opportunities other than those providing advantages by their proximity to the sea. (ii) Another reason is that they are not inclined to move their houses to safer areas despite them draining their resources, because they depend so heavily upon a constant salary offered by the industries related to the port and manufacture.
- Urban poor migrants. New arrivals to the city from the interior or other coastal areas are vulnerable since they have few housing opportunities open to them other than precarious areas which may otherwise not be occupied, or have an illegal status, but which are often in the most vulnerable parts of the city.
- The elderly. A particular group that is vulnerable are the elderly since they are often unable or unwilling to adapt to changes in their environment. Given adverse conditions such as flooding or relocation for an infrastructure project the elderly are often resistant to changing their ives so dramatically having gotten used to inhabiting one place, or are unable to adapt quickly to new environments. Elderly who have no families or a support network to assist them are particularly vulnerable.
- Families headed by women. Female headed families, either widowed women or single parent households, carry a heavy burden of supporting children and the elderly and so are vulnerable to sudden changes in livelihood, their surroundings and adverse weather conditions. They may have difficulty with maintenance of housing or other manual labor which men are usually responsible for, compromising their security.

3. National and City Policy

3.1. National Policy to Respond Climate Change

Climate change sectoral roadmap document or more known as The Indonesia Climate Change Sectoral Roadmap (ICCSR) has been the main reference on national-wide policy in dealing with climate change. The document advises National RPJM 2010-2014 and National RPJP until 2030. The document emphasizes a number of challenges that arise over climate change in forestry, energy, industry, agriculture, transportation, coastal area, waste, and health. Climate change adaptation strategies are formulated mostly in agriculture, clean water, coastal, healthy than other sectors.

| Sectors | Policy Direction in Adaptation and Mitigation Strategies |
|-------------|---|
| Agriculture | On food crops and horticulture through: Improved management of water management, including systems and irrigation networks; Development of water harvesting technologies (ponds, trench dams) and the efficiency of water use such as irrigation drip and mulch; Development of species and varieties of plants tolerant to environmental stresses such as increase in air temperature, drought, inundation (flooding), and salinity; Development of soil management technologies and crops to improve crop adaptation, and Development of farm protection system of failure due to climate change or weather crop insurance. In plantation crops through: Development of commodity which can survive in stress drought and excess water; Application of soil and crop management technologies to increase crop's power adaptation; Development of water-saving technology; Application of water management technologies, especially on |
| | land prone to drought. On farm management: Development of livestock more adaptable to extreme environments (such as Drought, high temperature, inundation); Development of silage technology to cope with food shortages, Seasonal Development of integrated crop-livestock systems (CLS) to reduce the risk of and optimizing the use of land resources. |

Table 3.1 The Direction of Adaptation Policies According to ICCSR

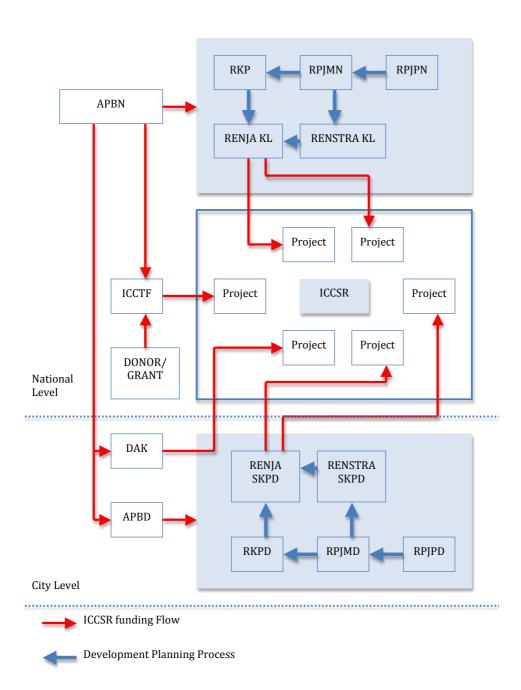
| Sectors | Policy Direction in Adaptation and Mitigation Strategies |
|---------|--|
| Health | Strengthening early warning systems and emergency response in the community; Strengthen review on vulnerability and risk assessment of the health sector due to climate change: Developing a policy framework supported by legislation and regulation; Develop planning and evidence-based decision-making areas; Improving cross-sectoral cooperation; Increase community participation, private and higher education; Strengthen the ability of local governments; Development of networking and sharing of information; and improve the quality and quantity of clean water and community sanitation. |
| Waste | Carry out a more complete and perfect review of GHG inventory of waste sector to accompany a systematic plan for GHG reduction; Applying environmentally sound waste management policies in the field of infrastructure development supported by environmentally sound development and applied technology research; Develop environmental policy implementation to the principle of 3R (reduce, reuse, recycle) in waste management: Developing a sustainable infrastructure development (By balancing three pillars of development, namely economic, social, and environmental) with reducing GHG emissions (GHG) and increasing carbon sequestration; Conducting solid waste infrastructure development sector that put concern the capacity of human resources and institutions including local government competence and independence to promote environmentally sound infrastructure development and to encourage the role of the private sector and communities; Develop waste management technologies that are environmentally friendly and anticipative to climate change; Developing the application of EPR (Extended Producer Responsibility) to hazardous waste producers and importers; Develop quality improvement of landfills technology. |
| Marines | In the group of inventory data and research activities include: Strengthening the capacity of research on the phenomenon, hazards, and potential impacts of climate change; Strengthen the capacity of adaptation and mitigation assessments more appropriately in accordance with the conditions of vulnerability and local wisdom. In the group of planning activities: Integrate climate change in planning documents and management of maritime affairs and fisheries. In the group of policies, regulations, and capacity building: The preparation of norms, standards and guidelines adaptation and mitigation of climate change; Adjustment of regulations and activities related to climate change; Accelerate regional head decision in the preparation of Strategic Planning Document (RENSTRA) in Maritime Affairs and Fisheries has to consider climate change issues; Improved institutional capacity and monitoring and control. In groups of implementation activities: Adjustment strength of coastal structures, Maintenance and rehabilitation of coastal ecosystems and small islands, Anticipating the sinking of small islands, especially outermost Indonesian island, Developing disaster reduction and climate variability on the coast, |
| | |

| Sectors | Policy Direction in Adaptation and Mitigation Strategies |
|--------------------|--|
| Water Resources | Carry out hazard assessment, vulnerability, climate change impacts on natural resources sector which is more detailed; Increasing the capacity of data and information in term of national resources, including its availability, needs, resources, and how residents obtain water through updates, upgrades, and providing public access to data and information resources; Strengthen the capacity of water resources in order to improve the situation of water supply; Increase or apply the concept of conjunctive use of surface water potential of the area is less; Increase provision and public access to data and information concerning water- related disasters and climate change such as floods, droughts, and landslides; setting (regulation) of the Act further resources at the national level and areas that consider climate change issues; Set or secure areas or water catchment or protection of water resources and protected areas of socialization and adaptation to climate change campaigns water sector. |
| Energy | Fuel pricing with more balanced (fair); Policy natural resource price of renewable energy; Requiring renewable energy resources; Tax or carbon tax from fuel burning activities; Development of the fuel gas supply infrastructure; introduction of new technologies and cleaner technologies using coal, and mixing policy with biofuels |
| Industry | Improving energy efficiency and energy diversification; Monitoring GHG emissions; Modification and replacement of technology |

There are two ways of funding in order to implement policies pointed out in the ICCSR into actions. Firstly, the ICCSR policies will be funded by national government budget (APBN) after the polices are elaborated as programs within national mid-term development planning (RPJMN). Still from the same funding source, additionally the implementation of the ICCSR at city level is through a Special Budget Allocation (DAK) mechanism from national ministries and departments to province government before they forwarded to the city level. Semarang City so far received DAK from two ministries namely environmental ministry (KLH) and marine and fisheries ministry (KKP).

Secondly, the ICCSR policies will be funded through the Indonesian Climate Change Trust Fund (ICCTF) which are coordinated by Ministry of National Development/ National Development Board (BAPPENAS). The ICCTF taps the funding and grants coming from donor agencies and APBN. It is expected that the ICCTF can be accessed by any organizations, including local governments at city level or local NGOs at the grassroots who are concern with climate change adaptation and mitigation. Therefore, availability an organization at city level which specifically able to support local

government to transform ICCSR policies into action is imperative. While the former is basically a formal mechanism, the later is more quick and flexible. Unfortunately, there are many critics on the clarity of procedure to access the ICCTF.





3.2. Semarang City Development Policies

As stipulated in law and national development planning system 25/2004, Semarang development policies outlined in the document long-term development plan (RPJPD) and regional medium-term development plan (RPJMD). Each of the documents described in the following sub-chapters.

3.2.1. Direction and Long-term Development Priorities

By reviewing documents RPJP Semarang City Year 2005-2025, the direction of Semarang city development in recent decades to come might be outlined. Long-Term Development Plan of Semarang City contains several strategic issues and expected conditions to Semarang city within the next 25 years. The following table presents a concise direction and priorities of long-term development plan.

| PROGRAMS | GOALS | EXPECTED OUTCOMES | | |
|---------------------------|--|---|--|--|
| ECONOMY | ECONOMY | | | |
| Trade and Serv- ices | Increasing the contribution of re- sources trade to reach at 35.45% in 2014 | Increasing economic growth followed by com- munity per capita income Anticipating the impact of trade globalization, which has impacts on the strict competition. | | |
| SMEs and Micro Finance | Strengthen middle down economic community Finding the economic potential and improve competitiveness of SMEs | Pressing the level of poverty in the community who experience increase of 0.21% during 1993 - 2004 Promoting economically independent community Penetrate export markets and increase national incomes | | |
| Investment | Creating a conducive climate in- vestment, ie mainly the protection of investors and simplification of bureaucracy for investment | Attract investors, both domestic and external country because of security and convenience for investing Increasing economic growth | | |
| Industry | Socializing environmentally friendly industry/ Green industry Building partnerships, capital-in- tensive industry with a small indu- stry to have mutual benefit | Social sensitivity to global warming and increa- sed environmental health Support the existence of small scale industries with the partnership with large industry. Contribute to economic growth which showed positive trends 3.35% to 3.95% from 2000 to 2004 | | |

Table 3.2: Review of Long-Term Development Plan (RPJP) Semarang City

| PROGRAMS | GOALS | EXPECTED OUTCOMES |
|----------------------------|--|--|
| Employment | Creating new jobs for growing la- bor force. Reducing the social gap | Pressing the crime rate caused by high unemployment problems Pressing the projected high rates of unemployment that will reach at 62.84% in 2025 |
| Transportation | Improving and optimizing the con- ditions of damaged roads throug- hout the 638,754 km of the total road length reaches 2,762,731 km. | Achieve an effective and efficient transportation system in accordance with the hierarchy and the function of roads as well as the realization of transportation system on land, sea and air in an integrated way. Realization of rapid and mass transportation (mass and rapid transportation). |
| GOVERNANCE | | |
| Public Services | Increasing public satisfaction on government service by establishing a unit integrated service (UPT), standard applications of minimum service, complaints and hotline service to improve performance, develop the quality of the bu- reaucracy, develop infrastructure, and create space for public partici- pation. | Increased public confidence to the government that can generate public support for government programs |
| Law | Improving the performance of law enforcement and quality of regional law. | Increasing law enforcement stability that can support programs government programs. |
| INFRASTRUCTURE | E AND ENVIRONMENT | |
| Spatial Planning | The creation of structure and spa- tial pattern effectively and efficiently in accordance with hierarchy and its development function | The harmony, sustainability and optimum utiliza- tion of space in accordance with the potential and carrying capacity of the region |
| Water Resources | | Support the sustainability of water resources for urban activities |
| Drainage | The creation of coherence in ma- nagement of drainage from upstream to downstream | Sustainable urban drainage system |
| Waste | The integration of waste manage- ment systems at urban scale | The sustainable urban waste management sy- stem |
| Pollution | Pollution management and en- vironmental friendly development of green open space system | Improving the quality of urban environment |
| Housing and Settlements | Meeting the needs of housing qu- antity and quality as population grows | Management of a healthy housing environment based on community |

| PROGRAMS | GOALS | EXPECTED OUTCOMES |
|------------------------|--|--|
| Public Facilities | Meeting the needs of urban com- munities and facilities | The provision of urban public facilitiescommuniti- es and urban facilities that can support Sema- rang City as a city of trade and metropolitan sca- le services |
| HUMAN RESOURC |) ES | |
| Health | Improving community health status through: Promotive, preventive and curative activities Creation of healthy environment Support medical professionalism Adequate health facilities and in- frastructure Development of the community health care system | Increasing the degree of community quality of life that can be seen in the following indicators: Life Expectancy in 2025 will reach 75 years,rea- ching 4.00 Infant Mortality / 1000 birth, maternal mortality rate reached 42/100.000 give birth Health care coverage to 100% of the total popu- lation |
| Tourism and Culture | Development of tourism as a ful- fillment of community needs and assets to create city's magnet. The development of local culture as tourism potential Development of tourism as a ful- fillment | Increased contribution of tourism in the city eco- nomy Preservation of local culture as an aspect of community characteristics forming |
| Education | Improving community awareness for the importance of education for the effort to improve quality of life | 9 years mandatory up to secondary level for the basic education level of Semarang community Increased community participation to education Achieving gross enrollment rate in each educa- tion stage |

By understanding the development of Semarang to come, it is hoped the strategy and climate change adaptation action will be formulated remain synergistic and contributive within the comprehensive urban development framework.

3.2.2. Direction and Medium Term Development Priorities

RPJP as a long-term development of plan has been translated into medium-term development plan (RPJM). In this medium-term plan, issues and directions of development in every organization, which cover different types of authorities is outlined.

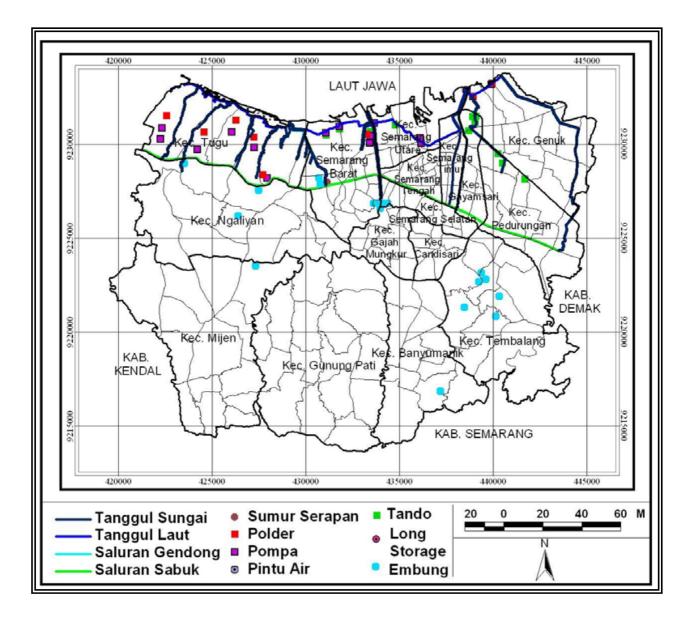
The development of medium-term Plan 2010-2015 in Semarang was in progress, at the same time with the preparation of the CRS. Therefore issues related to and strategies to deal with climate change adopted from CRS was introduced in the preparation and the formulation of Semarang City's strategic issues and mission of Semarang City's medium-term Development Plan. It is stated in the medium-term development plan that: "environmental degradation and quality in Semarang are exacerbated by the climate change and it is urgent for the local government institution to take action in dealing with the climate change".

3.3. Current Issues and Dynamics of Semarang's Urban Development Activities

There are several development projects based in Semarang City, which is allegedly significant to affect climate change adaptation activities. These projects are:

- 1. Kali Banger Polder Development Project, as a part of cooperation with the Dutch and funding from JBIC (Japan Bank for International Cooperation). Polders Development expected to reduce the pressure of floods in the Northern and Eastern Region of Semarang.
- 2. Jatibarang Reservoir and Dam Development, as a part of cooperation with JBIC (Japan Bank for International Cooperation). The construction is expected to increase the supply of water in responding to the increasing demand of clean water and to the possibility of shortfall especially in the dry season.
- 3. Sea Embankment Construction. Sea dike will be stretched across the northern part of town. The discourse on the construction of sea dikes is initiated by Semarang City Government. The dike is proposed to deal with flooding and seawater inundation which had a long story that has not handled thoroughly.

These three projects are to be regarded as the mega-project in Semarang City which should be noted their existence in the analysis of development scenarios. The analysis of development scenario will be presented in next chapter. The following figure is some components of Masterplan Drainage which its implementation will have a positive contribution to deal with flooding and seawater inundation.





Source: Semarang's Masterplan Drainage in PLRT FT UNDIP (2010)

4. Scenario Development

4.1. Assumptions

The following points are the data and assumptions used to perform development climate scenarios in Semarang City.

- 1. Area city of Semarang is 37,330 hectares (BPS), while the coastal area of Semarang is 4,575 hectares (DKP).
- 2. The rate of population growth for the projection is 1.2% (using the same rate used in Semarang's City Masterplan RTRW) by using the model exponential estimates.
- 3. The intensity of the floods of 1989-2007 is 21 months, equivalent to 1.2 months per year or equivalent with 36 days. Margin rainfall brought flooding are 302 mm/month (CC-ROM IPB, 2010). While the increase in the maximum rainfall was 50 mm/month. Number of months wet and dry months based on CRU TS2.0 dataset analyzed by CCROM-IPB (2010)..
- 4. The basis data for the assumption of SLR in 50 years from now is SRESA B1/A2: 21 cm (CCROM IPB, 2010) and the more extreme projections is 38 cm (DKP, 2008).
- 5. The number of people served by PDAM today is 11,000 households, or it is equivalent to 550 000 inhabitants.
- 6. With the Jatibarang reservoir upstream conservation, discharge produced by the reservoir is 0:26 m3. Standard clean water needs are 120 liters/people/day or it is equivalent to 600 liters/ household/day (BPS), thus producing an additional reservoir of clean water for 37,500 homes ladder. Without conservation in the upstream, the contribution expected from the reservoir will decline by half or only provide for 18,500 additional households.

- 7. Semarang long coastline is 25 km from the east to the west. The ideal thickness of mangroves for coastal protection is 100 meters, so the ideal total area of mangroves to coastal city of Semarang is about 250 hectares.
- 8. The total area of current fishponds is according to data in 2009 covering 1002.1 hectares area. The rate of decreasing fishpond is about 42 hectares within 5 years (DKP, 2010) and the economic loss per hectare of ponds is equal to Rp.18 Million.

4.2. Climate Change Scenario Development in Semarang City

4.2.1. Dry Season Scenario

Based on the trend data in Semarang City, the average of the dry season is 3 (three) months at MAM. Along with climate change, drought is expected to become longer presumably at 4 (four) months. If the current Semarang City's population is for about 1.4 million inhabitants, then in the next 50 years Semarang's future population will be 2.9 million inhabitants. The dry season, which is getting longer, will have effect on the availability of the PDAM taps water. If the current 60% population of the city not served by PDAM and there is no additional raw water and the long dry season remained about four months, then 80% of the population in 50 years from now will not get service PDAM taps. If within a near one-month dry season becomes longer, the number of people who are not served taps will increase up to 70% and in 50 years that will come, it is predicted approximately 85% of the population does not get service PDAM taps.

4.2.2. Dry Season Scenario After Dam Development and Without Upstream Conservation

Jatibarang Reservoir Development which will be completed in 2015 will give positive implications although still leaves a problem. With the reservoir development but without appropriate conservation in 2015 and long 3-month dry season there will be 54% of people without clean water taps and underserved that number increased to 63% when the dry season occurred 1 month longer. With the same scenario, by 2050 the number of people without clean water taps increase at 78% and 82%.

4.2.3. Dry Season Scenario After Dam Development With Upstream Conservation

Conservation Jatibarang upstream reservoir will provide a better effect for water availability. Reservoir development supported by conservation activities, in 2015 and it is assumed that there will be 3-months long dry season, the number of people without clean water taps served is about 47% of people and that number increased to 58% when the dry season occurred 1 month longer. With the same scenario, by 2050 the number of people without clean water taps service increase at 75% and 80%.

| | Population:1.4 Millions (Current) | | Population: 2.9 Millions (Next 50 years) | |
|-------------------------|--------------------------------------|-----------------------------|---|-----------------------------|
| | People Uncovered by PDAM Water Tap | | | |
| 3 Months Dry Season | 60% | | 80% | |
| 4 Months Dry Season | 70% | | 85% | |
| Post Development of Dam | wo/ Upstream Conservation | w/ Upstream Conservation | wo/ Upstream Conservation | w/ Upstream Conservation |
| 3 Months Dry Season | 54% | 47% | 78% | 75% |
| 4 Months Dry Season | 63% | 58% | 82% | 80% |

4.2.4. Rainy Season Scenario

Based on trend data in Semarang City, the average of rainy season will take place in 2-3 months. Along with climate change, the rainy season is expected to become longer than 4-6 months. If the current urban drainage masterplan realization is only 9%, then in a normal situation 10.8% of Semarang City area will be inundated by floods with a total of 36 days per year. In 50 year a half of the drainage master plan will be realized, the inundated areas will decrease at 6.5% with only 22-day flooding per year. Longer rain season will give effect on the extent of inundation areas. If achievements drainage masterplan has not changed and still the same as present condition, inundated areas will increase at 13%. Total flooding-days per year will also increase to 72 days.

With the realization of half of the masterplan urban drainage in the next 50 years, a longer rainy season still causes inundation to about 7.8% of city area and the possibility to have a 44 flooding-days per year.

With less optimal drainage system at this time, it is estimated about 30% of public and private wells are used by approximately 51,000 households are contaminated. If the rainy season getting intense, the number of wells contaminated will increase, where the number of affected communities will increase to approximately 58,500 households. Repairing drainage system in 50 years from now is estimated a reduction to the number of wells contaminated to 20%. However, the number of households utilize these resources will be higher at about 92,800 households. Then if in 50 years from now, a rainy season has become longer the number of people affected will increase to approximately 98,600 households.

| | 9% Drainage Masterplan are Implemented (Current) | 50% Drainase Masterplan will be implemented (Next 50 years) |
|-------------------------|---|--|
| | Percentage of Inundated Areas | |
| 2-3 Months Rainy Season | 10.8% | 6.5% |
| 4-6 Months Rainy Season | 13% | 7.8% |
| | Number of Days with Flooding | |
| 2-3 Months Rainy Season | 36 | 22 |
| 4-6 Months Rainy Season | 72 | 44 |
| | Flooded Houses and Contaminated Wells | |
| 2-3 Months Rainy Season | 51.000 | 92.800 |
| 4-6 Months Rainy Season | 58.500 | 98.600 |
| | Areas Prone to Landslide | |
| 2-3 Months Rainy Season | 23 Sub-district | 8 Sub-district |
| 4-6 Months Rainy Season | 38 Sub-district | 19 Sub-district |

Table 4.3 Scenario Rainy Season in Semarang City

Landslide prone areas in Semarang City are also predicted to increase along with the rate of extreme precipitation. Based on the previous VA study, there are 23 Sub-districts are categorized as a vulnerable area and have a high slope. The number will increased to 38 Sub-district in 2050 if the rainy season lasts 4-6 months. In the future, improvement of the drainage system is assumed to be able to reduce 65% risk at the normal rainy season 2-3 months and it will reduce 50% risk at the more extreme rainfall season (4-6 months).

4.2.5. Scenario of Flooded Areas due to SLR

At present the achievement of Semarang city drainage master plan (MercyCorps, 2010) is only about 9% and it is predicted in the next 50 years the ability to carried out masterplan drainage is up to 50%. In the next 50 years to come SLR will increase by 21 cm (CC-ROM IPB, 2010). A more extreme prediction states that SLR will increased by 38 cm (DKP, 2008). If no efforts to accelerate the implementation of the masterplan drainage, SLR at a moderate level will result in seawater inundation for about 53% of the total area of coastal in Semarang City. The improvement of drainage system in 50 years from now, there will be 13% of total coastal area will be flooded. With a more more extreme SLR, drainage system at this time will make 68% of the total coastal area of Semarang will be flooded. In the next 50 years if half of the target drainage masterplan can be realized the inundated areas will decrease approximately at 28% of the total area in coastal.

| | 9% Drainage Masterplan are Implemented (Current) | 50% Drainase Masterplan will be implemented (Next 50 years) | |
|-----------|---|--|--|
| | Percentage of Inundation in Coastal Areas | | |
| SLR 21 Cm | 10.8% | 6.5% | |
| SLR 38 Cm | 13% | 7.8% | |

4.2.6. SLR Scenario with Variation Area of Mangrove Conservation

At this time Semarang City has only 37% of ideal on the total area of mangrove conservation. With

such percentage, 21 cm increase in SLR (CC-ROM IPB, 2010) will cause 40% of fishpond at this time will disappear, with total loss of about 7.2 Billion per year. A more extreme prediction where the SLR will increase by 38 cm (DKP, 2008), 75% of current area of fishpond will disappear with total losses reached 13.2 billion per year. On the other hand, the total area of mangrove in Semarang City shows a declining trend. If this declining rate is taken into account and it is assumed there is no intervention, In the coming 50 years, it is estimated that Semarang City will only has 18% of ideal on the total area of mangrove conservation. In other words, mangrove conservation area will decrease by half from now. In this situation, an increase of 21 cm SLR will cause 50% of the current fishponds will disappear with total losses of around 9 billion per year. With a more extreme prediction in which SLR will increase by 38 cm, 90% of the existing fishpond will disappear with total economic loss at 16.3 billion per year.

| | Mangrove 37% of Ideal (Current) | Mangrove 18% of Ideal (Next 50 Years) | |
|-----------|---|--|--|
| | Percentage of Loss on Fishponds from Existing (2009 data) | | |
| SLR 21 Cm | 40% | 50% | |
| SLR 38 Cm | 75% | 90% | |
| | Production Loss (in Billions IDR) | | |
| SLR 21 Cm | 7.2 | 9 | |
| SLR 38 Cm | 13.2 | 16.3 | |

Table 4.4: SLR Scenario with Variation Area of Mangrove Conservation

5. Strategy and Priority for Actions

5.1. Goals and Strategies for Climate Change Resilience

Based on the understanding on urban vulnerability assessment to climate change and climate change scenarios as described in the previous section, there are five sectors that need to be focused in the formulation of resilience strategy in Semarang City. These five sector are clean water, infrastructure, marine and fisheries, environment, and human resources and institutional development. The purpose of resilience strategy in each sector as follows:

5.1.1. Water Sector Resilience Strategies

Resilience strategy on water is intended as an effort to ensure the availability of drinking water for community in Semarang City under extreme climate conditions, both when flooding and prolonged drought. Target of this strategy is prioritized for the community groups which are not covered by PDAM tap service and those living far away from water sources. Several alternative actions to create the city resilience of this water source are:

- **Rainwater harvesting.** Rainwater harvesting is targeted to support the deficit of water supply with application a particular technology which fits to local condition whether as hilly upstream regions, dense city center, or coastal. There are some technical options to rain harvesting such as through construction of ponds, water tanks on the roof building, dam, artificial well and biophore.
- Water saving. The goal of this intervention is reduction the utilization of clean water both individually and collectively. Developing awareness, technology applications, and promoting incentive and disincentive regulation are some actions to translate this strategy.
- **Purification of public wells/ contaminated water source.** The goal of purification is a guarantee that both private and public well owned by community is still feasible to consume. The purification can be done with conventional methods or advanced technology depends on the contamination level.

• Seawater desalination. Desalination will significantly improve resilience on clean water, especially in emergency situation. Provision of mobile water treatment is one of possible intervention.

5.1.2. Infrastructure Sector Resilience Strategies

Infrastructure resilience strategy is intended as an effort to reduce the negative impact from flooding when the intensity of rainfall extremely increase. Target of this strategy is prioritized on communities living around the flood plains and the survivability economic centers and the nodes movement to perform their functions. Several alternative actions to foster resilience from infrastructure point of view are:

- **Construction of sea wall.** Basically sea wall is one of required infrastructure to protect infiltration of seawater into coastal land. The sea wall can be developed on the land and on the shallow water areas. Besides to perform its basic function, the sea wall will increase accessibility if the top part of the dike is designed as transportation road.
- **Construction of the channel belt.** The channel belt is a citywide infrastructure to split or distribute rainwater flowing in major rivers from the south to the north of Semarang City. The channel belt lying from the west to the east of Semarang City will slowing down the water velocity and reduce risk of flooding in center business districts.
- Neighborhood drainage network development. Neighborhood drainage is one of infrastructure
- component to improve resilience especially on settlements which are prone to landslide with 15% and more gradient. Inappropriate neighborhood drainage often causes landslide and erosion. The urgency of neighborhood drainage as a tertiary system is less considered in city drainage masterplan and got less priority of funding. In fact, this tertiary system will have influence on the bigger drainage system.
- **Construction of flood shelter.** This intervention is important to increase resilience when extreme rainfall or the failure of drainage system occurs. The construction of flooding shelter is allocated to settlement centers with time and distance consideration. The shelter can be a new building or it just integrated its function with others available building.

5.1.3. Environment Sector Resilience Strategies

Environmental sector resilience strategy is intended as an effort to support sustainability various development programs of the water sector as well as existing infrastructure. With this strategy it is expected that the benefit of clean water programs and infrastructure project can last for a long time and immediately be perceived by the target. Several alternative actions to create resilience from environmental point of view are:

- Upstream Conservation of Jatibarang Dam. Upstream conservation is basically a set of activity covering planting activity with suitable vegetation and advocating regulation to limit and control development of Dam Jatibarang's upstream. The conservation will help to minimize siltation process that reduce the dam's function.
- Protecting sources of clean water.through household liquid waste management. This intervention covers construction of wastewater treatment which locally specific and development of micro-finance scheme to increase poor people access to sanitation. This intervention is important to reduce a serious risk of drinking and clean water following flood or sea level rise.
- Vegetative treatment for settlement areas which are prone to landslides. There are several vegetation species that can be chosen such as vetiver grass and bamboo. Vegetative treatment is less costly for community and more environmentally friendly in comparison to other structural approach.

5.1.4. Marine and Fisheries Sector Resilience Strategies

Resilience strategy of marine and fisheries sector has a strong relationship with environmental sector. Resilience strategy is intended as an effort to protect aquaculture activities in Semarang City from the threat of SLR and abrasion. Target of this strategy is prioritized to communities in coastal area in general and fish farmers in specific. Several alternative actions to create the resistance of this sector are:

• Development of green belt along the beach surround aquaculture zone. A variety of techniques of coastal vegetation planting are available, from the conventional to innovative one.

The utilization of used tire to wave breaker, instead of as planting medium is one of technique currently initiated in Semarang City.

• Diversifying marine and fisheries business and product. The goal of diversification is to economically strengthen people who are working in marine and fisheries sector. So they will be more stable and are able to afford individual adaptation action if necessary.

5.1.5. Human Resource Capacity and Institutional Strategies

Human resource development through formal and informal education and training public and government officials become an important strategy in climate change adaptation. Knowledge and skills obtained will facilitate implementation and encourage the success of the program and climate change adaptation action. Several interventions that can be proposed are:

- Mainstreaming climate change into education curricula. The goal of this action is public will have a more understanding on the climate issues, impacts, and challenges. The content of education material and methods depend on different education level, begin with elementary school to university.
- The establishment of Center for Cities and Climate Change (C4). This institutional development is to increase government capacity by providing information and data base to support decision making process. This organization also provides training for government staffs especially to equip them with skills to translate strategic strategies into implementable actions. This organization is possible to train other party on request. Although this institution located under government organization structure (called Pusat Informasi Pembangunan), partnership with human resource from universities and NGOs is possible. Semarang City's Technical Team on Climate Change which exist currently can be an embrio of this institution.
- Involving Private Sector in Climate Change adaptation. The goal of this intervention is better allocation of CSR of private organizations to climate adaptation activities. This activities can be done by developing a communication forum between government and private or business sectors with a specific topic on CSR and climate change.

• Strategic Environmental Assessment of City Masterplan with Climate Change Criteria. The Semarang City Masterplan 2010-2030 might not workable given the extreme changes in rainfall and sea level rise occurs in the city. New development in the coastal and what are maintained the masterplan such as airport, railway station, industrial areas, and centers of trade and services might fail to perform their function under such condition. In addition, new land use that are allocated in other part of Semarang City will influence or will be influenced by climate change. Therefore a comprehensive strategic environmental assessment of the city masterplan are needed.

5.2. Criteria for Resilience Strategy

In the proposed adaptation activities to urban resilience, resilience criteria should be taken into account. These criteria include (the Rockefeller Foundation and ISET, 2010):

- **Redundancy:** the various ways to accomplish tasks that are essential to system must be developed in the formulation of actions to improve resilience.
- Flexibility: the actions that are developed must have the flexibility because the system is also expected to accommodate condition without an error (when extreme situations do not occur). If an error occurs under extreme conditions, it is also predictable and controllable and it can be recovered quickly.
- **Reaction ability/ reorganization:** the actions that are developed are expected to support system in reshaping itself quickly in undesirable conditions.
- Learning: the developed actions should attempt to utilize the experiences that already exist to speed up the process of accumulation of knowledge. In other words, the proposed adaptation actions should not be untested.

Table 5.1: Resilience Criteria of Proposed Climate Change Adaptation Actions

| CLIMATE ADAPTA- TION STRATEGIES | REDUNDANCY | FLEXIBILITY/ ROBUSTNESS | REORGANIZATION/ RESPONSIVENESS | LEARNING |
|--|--|---|---|---|
| 1. Rain Harvesting | Can be done by making ponds, recharge wells, or catch water directly from the roof building. | The rainwater can be directly used to wash- ing and toileting or through processing prior as drinking water. | Rain harvesting re- duces run-off which potentially caused flooding. | There are individual initiation on rain water harvesting implemen- tation |
| 2.Water Saving | The reduction could also include reuse in using water and can be done in various household activities. | Water savings can be done in the dry or rainy season. | Water saving can also provide water supply in the dry season. | There have been many campaigns about water savings. |
| 3. Purification of pub- lic wells / contami- nated water sources | Purification can be done with various ways, both traditional and with im- plementation appropriate technology. | Filtration systems de- signed in order to run during floods and sea- water inundation. | inundation, purifica- tion guarantee the availability of clean water for community and reduce commu- nity fees to purchase clean water. | Purification has been applied, for example, in Aceh |
| 4.Seawater desalina- tion | Desalination is con- ducted in emergency situation only, especially when flooding occurs. | Desalination facilities are designed with mo- bile concept to reach flooding areas. | In emergency case, seawater desalination will guarantee avail- ability clean water for flooding victims. | Desalination projects has been imple- mented in in Aceh and Bali |
| 5. Sea wall | | Sea dike will also serve as the north outer ring road of Semarang City | Sea dikes can over- come SLR at the most extreme level | It has been imple- mented in several other countries, such as the Nether- lands and South Ko- rea. |
| 6. Channels belt network | | Can be designed to accommodate rain- water runoff in extreme conditions, the volume channels can be design to consider extreme rainfall conditions. | Could reduce the pressure of flooding in the central part of Semarang City | It was pointed out in the drainage master- plan of Semarang. It has been imple- mented in some part of Semarang at small scale. |

| CLIMATE ADAPTA- TION STRATEGIES | REDUNDANCY | FLEXIBILITY/ ROBUSTNESS | REORGANIZATION/ RESPONSIVENESS | LEARNING |
|---|--|---|--|---|
| 7. Neighborhood Drainage System | | Can be designed to accommodate presipi- tation runoff in extreme conditions. The drain- age design such as volume and materials may consider availability material at the local level. | Could reduce the pressure flooding in the central part of Semarang City, espe- cially in neighborhood settlements. It can improve the quality of public health. | It has been imple- mented in some parts of the city at small scale, such as KIP. |
| 8. Flooding Shelter | Should be designed with minimum elevation which higher than flooding level at the most extreme rainfall. | The construction of flooding shelter is allo- cated to settlement centers with time and distance consideration. The shelter can be a new building or it just integrated its function with others available building. | Community resilience increase when ex- treme rainfall and the failure of drainage system occurs. | The victims of flood disaster often evacu- ate their self inde- pendently to higher locations used to public building close to their home such as mosques, curchs, and schools. |
| 9. Upstream Conser- vation of Jatibarang Dam | Can be done with vari- ous ways, such as agro- forestry. | Planting can be done in rainy season, and treatment will be fo- cused on dry season. | Can deliver value added economically for the community besides reduce sedimentation to Jatibarang Dam | It has been studied by Central Government, Local Government, and JICA. |
| 10. Protecting water resources through domestic liquid waste management. | Can be done through the management liquid waste. | Can be done in individ- ual and communal scale. The design can be customised with the characteristic of problems at different locality. | Improving public health and environ- ment quality. | There are initiation activity applied by the City Government and NGOs. Citywide- sanitation masterplan is available. |
| 11. Vegetative Treatment to address landslide in the high slope areas | There are various kinds of species (vetiver, bam- boo, crops) and planting techniques. | Planting can be done in rainy season, and treatment will be fo- cused on dry season | In addition to protect- ing homes and pre- vent the victim, this has contribution to reduce sedimentation in the urban drainage network. | There were initiation activity practised through ACCCRN's pilot projects. |
| 12. Green belt along the shoreline in aq- uaculture land | There are various kinds of species and planting techniques for man- groves. | Planting can be done in rainy season, and treatment will be focused on dry season | In addition to protect- ing the fishponds, it also increases the coastal biodiversity, providing additional benefits such as for education and tour- ism. | There are many initia- tion activity applied by the City Government and NGOs |

| CLIMATE ADAPTA- TION STRATEGIES | REDUNDANCY | FLEXIBILITY/ ROBUSTNESS | REORGANIZATION/ RESPONSIVENESS | LEARNING |
|--|---|---|---|---|
| 13. Diversification of marine and fisheries business and prod- ucts | Development of fisheries and marines business which is not sensitive to SLR and extreme rainfall. | Development of busi- ness and products fol- lows the demand of the markets. | Economically strengthened people who are working in marine and fisheries sector will be more stable and are able to afford individual adap- tation action if neces- sary | There are many op- tions of activities to develop this sectors. |
| 14. Mainstreaming climate change into education curricula | Can be done through both formal and non formal education and within local government and broader community | Can be done any time. Its application could be integrated or adjusted with available education system and training. | | Environmental Educa- tion programs have been practised to formal education but it is not specific about climate change. |
| 15. The establish- ment of Center for Cities and Climate Changes | The institution organiza- tionally under local gov- ernment but it consists of city development stakeholders (govern- ment, NGOs, University, Private, Media, and Community Groups). | The organization format follow the mechanism and procedure in local government. This insti- tutional development is to increase government capacity by providing information and data base to support deci- sion making process. | This organization also provides training for government staffs or other on request. | Other similar centers are available in other cities and the centers usually based in uni- versity. |
| 16. Involvement Pri- vate Sector in Cli- mate Adaptation Projects | | Private contribution will be made specifically relevant with their inter- est. | CSR programs in pro- climate adaptation activities. Private sec- tors will be directed to have contribution to CC adaptation | Some private corpo- rations have invested their CSR to environ- mental protection projects. |
| 17. Strategic Envi- ronmental Assess- ment of City Master- plan with Climate Change Criteria | | There are some docu- ment on CC in Sema- rang City There are enough hu- man resource capacity to deal with the evalua- tion | The output of evalua- tion can be useful for further spatial plan- ning policies. The result of evalua- tion will feed city regular evaluation on masterplan every 5 years. | There is no initiative to evaluate city master- plan with CC criteria in Indonesia, but this practice may be avail- able in other country such as India (Surat City) |

5.3. Prioritization Process

Qualitative Cost-Benefit Analysis (QualBCA) is selected to conduct the process of prioritization. Before calculation of benefit and cost of activities are carried out, a qualitative description of the benefit and cost is presented in the following matrix.

Table 5.2: Costs (C) of Adaptation Actions

| CLIMATE ADAPTA- | | CC | DSTS | |
|---|---|---|---|---|
| TION STRATEGIES | Economy | Social | Environment | Others |
| 1. Rain Harvesting | Need a DED study, Installment, system maintenance, land acquisition for ponds system model | Negative percep- tion on rainwater quality which are assumed as dirty water Health risks as medium to mos- quitos and larvae | Landscape modi- fication for ponds system model has an effect for envi- ronment | |
| 2.Water Saving | Installment, saving water technology | Community resis- tance to new life style | | |
| 3. Purification of public wells / con- taminated water sources | Need a DED study, Installment | Less practice from community point of view | | |
| 4.Seawater desali- nation | Machinery invest- ment, operational and maintenance, Distributor vehicle | | Massive energy, produce a high level of CO2 | Skillful operators |
| 5. Sea wall | Need a DED study, Operational and maintenance, High cost investment | Sensitive to conflict over land acquisi- tion issues, loss of some people liveli- hoods | Coastal ecosys- tem degradation Sand mining in- crease to sea wall construction | People safety are threatened if there is failure Will promote develop- ment in SLR prone areas |
| 6. Channels belt network | Need a DED study, Operational and maintenance, High cost investment | Land acquisition for development Traffic jam due to development proc- ess | | Reduction of channel drainage lifetime due to sediment and garbage |

| CLIMATE ADAPTA- | | CC | DSTS | |
|---|--|---|--|--|
| TION STRATEGIES | Economy | Social | Environment | Others |
| 7. Neighborhood Drainage System | Need a DED study, Operational and maintenance, High cost investment | | | Reduction of channel drainage lifetime due to sediment and garbage |
| 8. Flooding Shelter | Need a DED study, Investment for new building, investment for building modifi- cation, land acquisi- tion, Operational and maintenance | Perception and negative image to government (why do not solve the root of problem?) | | |
| 9. Upstream Con- servation of Jatibarang Dam | Seed provision, planting, and main- tenance | Need intergovern- mental coopera- tions: beyond the authority of city government | | Government's percep- tion that conservation is economically not feasible, especially from regional income perspective |
| 10. Domestic liquid waste management to protect water re- sources | Need a DED study, Installment, and maintenance | Community per- ception that waste water should be away from their homes | | Community resistance if maintenance costs became their responsi- bility due to lack of un- derstanding and econ- omy |
| 11. Vegetative Treatment to ad- dress landslide in the high slope areas | Seed provision, planting, fertilizing, and maintenance | Land acquisition | | Time gap between planting actions and gained benefits |
| 12. Green belt along the shoreline in aq- uaculture land | Land acquisition, seed provision, and maintenance | Sensitive to conflict over land acquisi- tion issues be- cause land owner- ship | | Government's percep- tion that conservation is economically not feasible, especially from regional income perspective |
| 13. Diversification of marine and fisheries business and prod-ucts | Training, Business assistance, capital support,and moni- toring | Community resis- tance to new life style | Inappropriate type of business has a negative impact to environment | Marine and fisheries sector get less gov- ernment attention |
| 14. Mainstreaming climate change into formal education curricula | Training, curricula development, and development of learning forum | Too many subjects on formal educa- tion | | |

| CLIMATE ADAPTA- | | CC | DSTS | |
|--|---|---|-------------|--|
| TION STRATEGIES | Economy | Social | Environment | Others |
| 15. The establish- ment of Center for Cities and Climate Changes | Training, developing tools and learning media | | | Multi-stakeholder insti- tutions are difficult to be funded by govern- ment |
| 16. Involvement Pri- vate Sector in Cli- mate Adaptation Projects | Training, seminar, and workshop | Develop dialogue forum between Private, Govern- ment,and Commu- nity. | | Multi-stakeholder insti- tutions are difficult to be funded by govern- ment |
| 17. Strategic Envi- ronemntal Assess- ment of City Master- plan with Climate Change Criteria | Workshop series | The result of evaluation might be difficult to be adopted due to a huge social cost | | Non-physical outputs are less considered because don't have direct impact to people |

Table 5.3: Benefits (B) of Adaptation Actions

| CLIMATE ADAPTA- | | BEN | IEFITS | |
|--|---|--|---|--------|
| TION STRATEGIES | Economy | Social | Environment | Others |
| 1. Rain Harvesting | Reduce cost for water purchase Reduce economic loss from flooding | Recreation object | Reduce under- ground water ex- ploitation | |
| 2.Water Saving | Reduce cost for water purchase | | Reduce pressure to water resources | |
| 3. Purification of pub- lic wells / contami- nated water sources | Reduce cost for water purchase | Reduce health risk due to flooding | | |
| 4.Seawater desalina- tion | Reduce cost for water which are expensive especially on flood disaster | Provide clean wa- ter in emergency situation | | |
| 5. Sea wall | Reduce economic loss of flooding and seawater inundation Increase accessibil- ity | Create new em- ployments in con- struction phase | | |

| CLIMATE ADAPTA- | | BEN | IEFITS | |
|---|--|---|--|--------|
| TION STRATEGIES | Economy | Social | Environment | Others |
| 6. Channels belt net- work | Reduce economic loss of flooding | Reduce health risk due to flooding and create new employments in construction phase | | |
| 7. Neighborhood Drainage System | Reduce economic loss of flooding and landslides | Reduce health risk due to flooding and create new employments in construction phase | | |
| 8. Flooding Shelter | Shelter can be func- tioned as public space when there is no flooding | Reduce health risk due to flooding and create new employments in construction phase | Quality of shelter is better than their houses which are flooded | |
| 9. Upstream Conser- vation of Jatibarang Dam | Support water sources for PDAM | Create new em- ployments in planting phase and tourism activ- ity post develop- ment | Reduce sedimenta- tion into the dam and contribute to green masterplan | |
| 10. Protecting water resources through domestic liquid waste management | Consistency of wa- ter supply non PDAM | Waste is also community re- sponsibility Increase public health quality | Protect water re- source non PDAM | |
| 11. Vegetative Treat- ment to address landslide in the high slope ar- eas | Reduce material loss due to land slide | Reduce the num- ber of victims | Reduce sedimenta- tion into the drain- age and contribute to green masterplan | |
| 12. Green belt along the shoreline in aq- uaculture land | Protecting 1000 hectares of fish- ponds valued at 18 Billions/ year | Increase the wel- fare o coastal community Tourism and man- grove for educa- tions | Increase coastal biodiversity | |
| 13. Diversification of marine and fisheries business and prod- ucts | Increase community income | The level of com- munity welfare at least will not de- cline | | |

| CLIMATE ADAPTA- TION STRATEGIES | | BEN | IEFITS | |
|--|--|--|--|--|
| | Economy | Social | Environment | Others |
| 14. Mainstreaming climate change into formal education cur- ricula | Economic lost due to environmental degradation can be reduced | Increasing knowl- edge of people at school and uni- versity | Community-based environmentally sound activities will grow considerably | Key success for the implementation of climate adaptation program |
| 15. The establishment of Center for Cities and Climate Changes | Availability of infor- mation, data base, and human re- source to local gov- ernment | The capacity of government and public will increase | | Key success for the implementation of climate adaptation program |
| 16. Involvement Pri- vate Sector in Climate Adaptation Projects | Alternative sources of climate adapta- tion funding are available | | Environmental man- agement activities increase which con- tribute to resilience | Key success for the implementation of climate adaptation program |
| 17. Strategic Envi- ronmental Assess- ment of City Master- plan with Climate Change Criteria | Non-physical so it less expensive ac- tivity | The future impact of CC to society can be anticipated by spatial plan- ning. | Environmental pres- sure that exacerbate the impact of CC can be identified | |

5.4. Qualitative CBA and Prioritized Strategies

Qualitative CBA were chosen as a prioritization tool. The value were chosen column by column from the left (cost) to the right (benefit). Firstly, based on the qualitative description as shown by two matrices above, the CWG then decided the highest and the lowest value of strategies in each column. Although the maximum and the minimum value are 5 and 1 respectively, the value within each column is not always at maximum and minimum. After that, the rest of strategies were valued gradually between those highest and lowest. The following matrix is the result of Qualitative BCA.

| CLIMATE ADAPTATION | | | COSTS | | | | | BENEFITS | | | B/C Ratio |
|---|---------|--------|------------------|--------|----------------|---------|--------|------------------|--------|-------------------|--------------|
| STRATEGIES | Economy | Social | Environ- ment | Others | Total Costs | Economy | Social | Environ- ment | Others | Total Benefits | nalio |
| 1. Rain Harvesting | 3 | 1 | 2 | 1 | 7 | 5 | 3 | 5 | - | 13 | 1.86 |
| 2.Water Saving | 3 | 3 | - | - | 6 | 4 | - | 4 | - | 8 | 1.33 |
| 3. Purification of public wells / contaminated water sources | 3 | 3 | - | - | 6 | 3 | 3 | - | - | 6 | 1.00 |
| 4.Seawater desalination | 4 | - | - | 4 | 8 | 2 | 4 | - | - | 6 | 0.75 |
| 5. Sea wall | 5 | 3 | 3 | 4 | 15 | 5 | 3 | - | - | 8 | 0.53 |
| 6. Channels belt network | 5 | 3 | - | 1 | 9 | 4 | 3 | - | - | 7 | 0.78 |
| 7. Neighborhood Drainage Sys- tem | 5 | - | - | 3 | 8 | 3 | 3 | - | - | 6 | 0.75 |
| 8. Flooding Shelter | 4 | 2 | - | - | 6 | 3 | 4 | 3 | - | 10 | 1.67 |
| 9. Upstream Conservation of Jatibarang Dam | 2 | 4 | - | 3 | 9 | 4 | 2 | 2 | - | 8 | 0.89 |
| 10. Domestic liquid waste man- agement to protect water re- sources | 4 | 2 | - | 2 | 8 | 4 | 3 | 5 | - | 12 | 1.50 |
| 11. Vegetative Treatment to address landslide in the high slope areas | 3 | 4 | - | 3 | 10 | 3 | 3 | 4 | - | 10 | 1.00 |
| 12. Green belt along the shore- line in aquaculture land | 3 | 4 | - | 3 | 10 | 3 | 2 | 4 | - | 10 | 1.00 |
| 13. Diversification of marine and fisheries business and products | 3 | 4 | 2 | 3 | 12 | 3 | 3 | 4 | - | 10 | 0.83 |
| 14. Mainstreaming climate change into formal education curricula | 4 | 4 | - | - | 8 | 3 | 3 | 3 | 2 | 11 | 1.38 |
| 15. The establishment of Center for Cities and Climate Changes | 3 | - | - | 4 | 7 | 4 | 4 | - | 3 | 11 | 1.57 |
| 16. Involvement Private Sector in Climate Adaptation Projects | 2 | 4 | - | - | 6 | 2 | - | 3 | 2 | 7 | 1.17 |
| 17. City Masterplan Evaluation- with Climate Change Criteria | 1 | 4 | - | - | 5 | 1 | | 3 | | 7 | 1.40 |

Note : Assessment Range: 1 - 5 For the cost (C) 1 for inexpensive /low and 5 for the expensive/ high For the benefit (B) 1 to benefit low/ less and 5 to high benefit/ high

From the matrix above, the priority adaptation actions in Semarang City can be formulated as follows:

- Rain Harvesting (1.86)
- Flood shelter (1.67)
- The establishment of Center for Cities and Climate Changes (1.57)
- Domestic liquid waste management to protect water resources (1.50)
- City Masterplan Evaluation with Climate Change Criteria (1.40)
- Mainstreaming climate change into formal education curricula (1.38)
- Water Saving (1.33)
- Involvement Private Sector's CSR in Climate Adaptation Projects (1.17)
- Purification of public wells / contaminated water sources (1.0)
- Vegetative treatment to address landslide in the high slope areas (1.0)
- 100 m Green belt along the shoreline in aquaculture land (1.0)
- Upstream Conservation of Jatibarang Dam (0.89)
- Diversification of marine and fisheries business and products (0.83)
- Channels belt network (0.78)
- Neighborhood drainage system (0.75)
- Seawater desalination (0.75)
- Sea wall (0.53)

6. Implementation and M&E

6.1. Implementation and Funding Priority for Actions

This CRS document is open to various parties who will implement as well as provide funding to the priority actions that have been prepared. Yet all actions and financing will be coordinated by BAPPEDA of Semarang City, assisted by the City Working Group and Technical Team on Climate Change Adaptation of Semarang. Implementation and funding priority action can therefore be carried out by various parties, both Local Government (through APBD), the central government (through APBN, ICCTF), Private Sector (through CRS Fund), NGOs, Universities, or National and international donor institutions.

Implementation of community-based approach (community-based) should remain the focus attention from the action plan. Community Involvement as it has been demonstrated in the ACCCRN's Pilot Projects have a significant influence on the success of implemented adaptation action. The involvement of community is essential especially when the contribution of community in a particular intervention will be needed during and post implementation are needed. It can be and is not limited to some activities such as incorporating community consultation, involving civil society, giving responsibility for implementation, and sharing funding and resources.

Climate change adaptation action that has been formulated basically can be divided into short, medium, and long-term intervention. Long-term intervention requires a huge financial investment and more time in make it happen. Besides, there are also adaptation actions that could be realized in a shorter period of time. The top five of prioritized actions will be the short-term category of actions that will be proposed to Rockefeller Foundation. Every action adaptation does not exclusively belong to one particular institution but it promotes collaborative action in accordance with the authority of every organization. Table below outlines priority actions for climate change adaptation in Semarang City in terms of timeframe, fund allocation , and potential collaboration execution.

Table 6.1: Matrix of prioritized Adaptation Actions Proposal to RF (Short Term - Less than 3 Years)

| ADAPTATION ACTIONS | TIME FRAME | PROPOSED BUDGET | FUNDING PROPOSAL | COLLABORATING ACTORS |
|---|---------------|--------------------|--|---|
| Rain Harvesting | 30 Months | 750,000 USD | Rockefeller Foundation, ISET, MercyCorps | BAPPEDA, BLH; Dinas Kesehatan; BAPERMAS, University and Research Institution, and NGOs |
| Flood Shelter | 18 Months | 210,000 USD | Rockefeller Foundation, ISET, MercyCorps | BAPPEDA,PSDA, DPU, DTK, DKPB, University and Research Institution, and NGOs |
| The establishment of Center for Cities and Climate Changes | 12 Months | 100,000 USD | Rockefeller Foundation, ISET, MercyCorps | BAPPEDA, BPS, Litbang, Bag. Hukum, University and Research Institution, and NGOs |
| Protecting water resources through domestic liquid waste management | 21 Months | 230,000 USD | Rockefeller Foundation, ISET, MercyCorps | BAPPEDA,BLH, Dinas Kesehatan, DTK, University and Research Institution, and NGOs |
| Strategic Environmental Assessment of City Masterplan with Climate Change Criteria | 12 Months | 100,000 USD | Rockefeller Foundation, ISET, MercyCorps | BAPPEDA, University and Research Institution, and NGOs |

Table 6.2: Medium (up to 5 years) and Long Term (Up to 10 years) of Adaptation Actions

| TIME FRAME | ADAPTATION ACTIONS | FUNDING PROPOSAL | COLLABORATION AND FUNDING |
|-------------|--|---------------------|---|
| Medium Term | Mainstreaming climate change into formal education curricula | APBD, ICCTF | Dinas Pendidikan, BLH; University and Research Institution |
| | Water Saving | APBD, ICCTF | PSDA, DPU, BAPERMAS, University and Research Institution, and NGOs |

| TIME FRAME | ADAPTATION ACTIONS | FUNDING PROPOSAL | COLLABORATION AND FUNDING |
|-------------|---|---------------------|---|
| Medium Term | Involvement Private Sector's CSR in Climate Adaptation Projects | APBD, ICCTF | BAPPEDA, BLH, University and Research Institution, and NGOs |
| | Purification of public wells / contaminated water sources | APBD, ICCTF, DAK | BLH, Dinas Kesehatan, BAPERMAS |
| | Vegetative treatment to address landslide in the high slope areas | APBD, ICCTF, DAK | BLH, Dinas Pertanian, Dinas Pertamanan, NGOs |
| | 100 m Green belt along the shoreline in aquaculture land | APBD, ICCTF, DAK | DKP, BLH, Dinas Pertanian, NGOs |
| | Upstream Conservation of Jatibarang Dam | APBD, ICCTF | Pem Provinsi (BLH, Kehutanan), BLH, Dinas Pertanian |
| | Diversification of marine and fisheries business and products | APBD, ICCTF, DAK | DKP, BAPERMAS, Dinas Koperasi |
| Long Term | Channels belt network | APBN, ICCTF | DPU Pusat, BAPPEDA, DPU, PSDA, DTKP |
| | Neighborhood drainage system | APBN, ICCTF | DPU Pusat, BAPPEDA, DPU, PSDA, BAPERMAS, DTKP |
| | Seawater desalination | APBN, ICCTF | DPU Pusat, BAPPEDA, DPU, PSDA |
| | Sea wall | APBN, ICCTF | DPU Pusat, BAPPEDA, DPU, PSDA, DTKP |

6.2. Monitoring and Evaluation

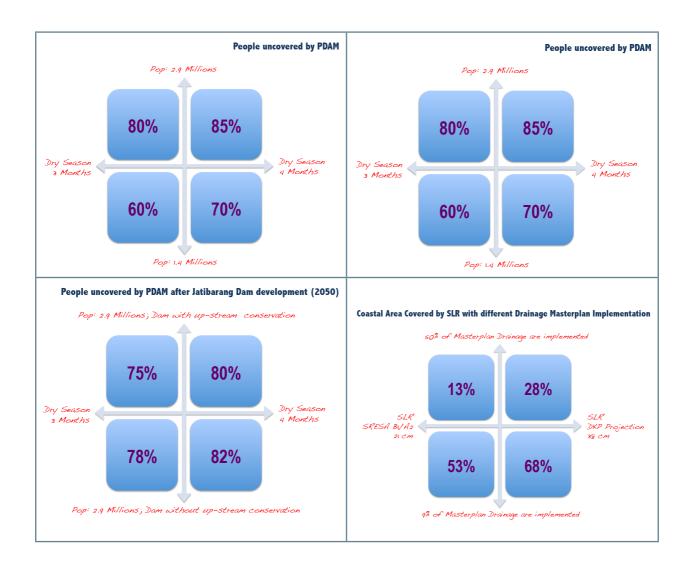
Monitoring and evaluation (M & E) of adaptation activities funded by local and state budget or fund carried out following the monitoring and evaluation process that has been defined in laws and relevant regulations. Adaptation activities funded by non-government funding such as grants from international donors, international NGOs, and private sector will adapt to the cycle of monitoring

and evaluation funders. BAPPEDA, CWG, and the Technical Team on Climate Change Adaptation Semarang City together with the initiators, implementors, and funders should prepare monitoring indicators and evaluation prior to implementation of adaptation activities. Monitoring will be performed periodically and evaluations conducted at least every year.

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Annex 1: Scenario Development





Annex 2: Concept Proposal of Prioritized Actions

| SECTION 1 – TITLE, CONTACT DETAILS AND BACKGROUND | | | | |
|---|---|--|--|--|
| 1.1 Concept title: | Water Resources Management In Dry Vulnerable Area of Semarang through Rain Harvesting Model | | | |

| SECTION 2 – OUTCOME | S, APPROACH, SCOPE AND |
|---|--|
| 2.1 Problem definitionWhy is this problem?what is the Climate Risk?who is vulnerable? | Some of drought area (such a Mangunharjo and Kec Tembalang) have risk climate category Medium-High. In 2025 and 2050, they will expose to high risk climate category. |
| | People who lives in drought area |
| which Urban Systems are affected? | It affected to pipeline or water supply system (infrstructure) and community development |
| What is the justification? What studies/ processes led to this being identified (e.g. Vulnerability Assessments/ | VA: In drought area, people will dug well to get water. It contribute land subsidence. In some of area, they need to buy water from private provider at a costly high. |
| Sector Studies/ SLD Meetings) | SLD 3: Rainharvesting to solve dry areas |
| 2.2 Method | To identify potential water resource in semarang with mapping |
| What is the intended method? How would it be done (what is the intended process) | To identify existing water consumption pattern and water needed projection in a future with mapping |
| Who would do it (project | Local Institutional dan community strengthening by training |
| owner, partners, involved institutions) | To set participatoy planning action to cope with climate change by FGD and training |
| | DED (detail engineering design) for new water resources utilizing through rainharvesting concept |
| | Project Implementation |
| | Monitoring and Evaluation |
| 2.3 Scope | Cost |
| What is the estimated Cost? | Prefeasibility study : US\$ 25,000 |
| Provide a breakdown of costs by resource and time | DED Rain harvesting models: US\$ 50,000 |
| What is the estimated | Piloting and Advancing the models: US\$ 100,000 |
| timeframe? | Social marketing and awarenes: US\$ 50,000 |
| Is it a staged approach?When would outcomes be | Rain harvesting installment: US\$ 500,000 USD |
| achieved or outputs delivered? | • Monev: US\$ 25,000 |
| | Timeframe |
| | Prefeasibility study: 6 Months |
| | DED Rain harvesting models: 3 Months |
| | Piloting and Advancing the models: 6 Months |
| | Social marketing and awarenes: 3 Months |
| | Rain harvesting installment: 1 Years |
| 1 | 1 |

| SECTION 3 – PRINCIPLE | S AND CRITERIA |
|---|---|
| 3.1 ACCCRN Principles Does the concept challenge | Yes, It ecological sustainability development and have no ecological impact. |
| bees the concept chainings the ACCCRN principles in any way? Ecologically Sustainable Development Do No Harm | • This intervention doesn't need chemical or any dangerous materials that can contribute negative impact to environtment. It expanse greenery to make the existing water resource sustainable. |
| 3.2 Criteria 1: Contribution to building Urban climate change resilience (<i>Credibility</i>) Outline how the proposal meets criteria 1. | Based on VA, this area is Medium,Medium – High climate risk area and they will move to High climate risk in the future if we do nothing. This intervention will help reduce the exposure of climate risk and improve coping capacity in drought area in Semarang by potential water resource management. It will interfere infrastructure system (such as pipeline) and using water resource and also find new water resource with rainharvesting system. |
| 3.3 Criteria 2: Impact on lives of poor and vulnerable populations (<i>Credibility</i>) | • It does impact to poor and vulnerable people in drought areas in Semarang. They will have good access of water supply, especially in dry season. The management water supply and greenery can be managed by local communities. |
| Outline how the proposal meets Criteria 2. | |
| 3.4 Criteria 10: Prospects for replication in other places (<i>Replicable</i> <i>and scalable</i>) Outline how the proposal meets Criteria 10. | • It could be replicate to other city in regional scale and have high potencial for wide and scale up in every drought area in ACCCRN cities, especially in steep/hill areas. Rainharvesting concept is adopted from local communities, e.g, In Bandar Lampung use a tank to catch the rainfall. What we do now is how to distribute and manage the water resource and how the poor and vulnerable people have good access and can get it cheap. |
| 3.5 Criteria 11: Ability to achieve scale (<i>Replicable and</i> <i>scalable</i>) | The same as above |
| Outline how the proposal meets Criteria 11. | |

1.1 Concept title:

Disaster Risk Reduction through developing shelter in flood area

| SECTION 2 – OUTCOME | S, APPROACH, SCOPE AND |
|--|--|
| 2.1 Problem definition | In 2050 SLR will be reach 21 – 38 cm |
| Why is this problem? what is the Climate Risk? who is vulnerable? which Urban Systems are affected? What is the justification? | Base on local government budget ability, drainage master plan will be achieved about 50% from all actions plan. |
| | Currently, implementation of drainage master plan is only 9% with own local government financial ability. Every year local government have ability to implement just 1% from all action plan in drainage master plan without development aid from others resource. |
| What studies/ processes led to this being identified (e.g. Vulnerability Assessments/ | Consequence of that situation is more than 7% of coastal area will getting flood in 2050. |
| Sector Studies/ SLD Meetings) | Now, it is about 51,000 household have flood disaster vulnerability and it will be increase until 98,000 household in 2050, or growing about 1,200 household per year with trend of drainage master plan implementation about 1% per year. |
| | VA and sectoral studies show flood disaster give impact to loss of assets, to damage of infrastructure and public facilities, and health problems. |
| | People who rich and has a family will move or evacuate to out of there are, but for poor people especially who hasn't family, so they don't have option or capacity to move from their area. |
| | It is needed to develop flood shelter as a evacuation place for community during flood. |
| | Flood shelter is expected to reduce victims of flood disaster because they have save evacuation shelter. |
| 2.2 Method | Feasibility Study for flood shelter in flood area in Semarang City |
| What is the intended method? How would it be done (what | Flood risk mapping and potential location for community evacuation |
| is the intended process)Who would do it (project | Deciding effective and efficient for shelter |
| owner, partners, involved institutions) | Developing alternative for shelter shape with new building or improving existing building (public facilities) |
| | DED for flood shelter development |
| | Shelter construction which appropriate with location characteristic and technology |
| | Developing disaster risk reduction system for flood disaster in Semarang, which flood shelter as a main component for flood evacuation. |
| 2.3 Scope | Cost |
| What is the estimated Cost? | • Feasibility study : US\$ 30,000 |
| Provide a breakdown of costs by resource and time | DED for flood shelter development : US\$ 30,000 |
| What is the estimated | Shelter construction : US\$ 100,000 |
| timeframe? | Developing disaster risk reduction system : US\$ 50,000 |

| Is it a staged approach? When would outcomes be achieved or outputs delivered? | Timeframe |
|---|--|
| | Feasibility study : 3 months |
| | DED for flood shelter development : 3 months |
| | Shelter construction : 6 months |
| | Developing disaster risk reduction system : 6 months |

| SECTION 3 – PRINCIPLE | S AND CRITERIA |
|---|--|
| 3.1 ACCCRN Principles Does the concept challenge the ACCCRN principles in any way? Ecologically Sustainable Development Do No Harm | The function of flood shelter will be integrated with public facility, such as school, sport facility, or meeting place. From shelter management can give contribution for operation and maintenance of shelter. Feasibility study also considers impact of environment aspect. |
| 3.2 Criteria 1: Contribution to building Urban climate change resilience (<i>Credibility</i>) Outline how the proposal meets criteria 1. | Shelter will reduce victim with the design consider to the most extreme of rain. Disaster Risk Reduction system can enhance community participatory and preparedness to cope flood, so it can minimize loss caused by flood disaster. |
| 3.3 Criteria 2: Impact on lives of poor and vulnerable populations (<i>Credibility</i>) Outline how the proposal meets Criteria 2. | Poor population which has not family connection for evacuation become prioritize from flood shelter development because they are vulnerable group. Impact of flood will reduce and decreasing community burden. |
| 3.4 Criteria 10: Prospects for replication in other places (<i>Replicable</i> <i>and scalable</i>) Outline how the proposal meets Criteria 10. | Flood shelter possible for replication in other place with considering climate and development scenario in the future. Resilience strategy through developing flood shelter can be implemented in coastal cities, especially with lack of local government budget to solve the flood issues |
| 3.5 Criteria 11: Ability to achieve scale (<i>Replicable and scalable</i>) Outline how the proposal meets Criteria 11. | Integration flood shelter in city disaster risk reduction will help the development process, not only in city level but also for province and national level. |

SECTION 1 – TITLE, CONTACT DETAILS AND BACKGROUND

| 1.1 Concept title: | |
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|--------------------|--|

Establishing Center for Cities and Climate Change (C4) as a center of information and capacity building

| SECTION 2 – OUTCOME | SECTION 2 – OUTCOMES, APPROACH, SCOPE AND | | |
|---|--|--|--|
| 2.1 Problem definition Why is this problem? what is the Climate Risk? who is vulnerable? which Urban Systems are affected? | Climate change issue is new issue, especially for local government, so just a few people have understanding with this issue. | | |
| | Although climate change impact have felt by community but it's difficult to make climate projection and estimation of impact to city development. | | |
| What is the justification? What studies/ processes led to this being identified (e.g. Vulnerability Assessments/ Sector Studies/ SLD Meetings) | Government has normative development plan system with guidance from central government. The guidance makes development plan process is easy but create boundary for local government to integrate new development issues into planning system. | | |
| | It is needed integration and sustainability efforts for integrating climate change issue into city development plan system. | | |
| | • It is needed institution for providing information about city and climate change as a part from decision making process. The institution has regular program to enhance capacity building for local government related with climate change. | | |
| 2.2 Method | Training of Trainer for member C4 | | |
| What is the intended method? | Developing best practice tools, knowledge, and database | | |
| How would it be done (what is the intended process) | Establishing Center for Cities and Climate Change (C4) | | |
| Who would do it (project owner, partners, involved | Training trial and tools improvement | | |
| institutions) | Developing C4 business plan | | |
| 2.3 Scope | Cost | | |
| What is the estimated Cost? | Training of Trainer for member C4 : US\$ 10,000 | | |
| Provide a breakdown of costs by resource and time | Developing best practice tools, knowledge, and database : US\$ 50,000 | | |
| What is the estimated timeframe? | Establishing Center for Cities and Climate Change (C4) : US\$ 10,000 | | |
| Is it a staged approach?When would outcomes be | Training trial and tools improvement : US\$ 15,000 | | |
| achieved or outputs delivered? | Developing C4 business plan : US\$ 15,000 | | |
| | Timeframe | | |
| | Training of Trainer for member C4 : 1 month | | |
| | Developing best practice tools, knowledge, and database : 6 months | | |
| | • Establishing Center for Cities and Climate Change (C4) : 2 months | | |
| | Training trial and tools improvement : 1 months | | |
| | Developing C4 business plan : 2 months | | |

| SECTION 3 – PRINCIPLES AND CRITERIA | | |
|---|--|--|
| 3.1 ACCCRN Principles Does the concept challenge the ACCCRN principles in any way? Ecologically Sustainable Development Do No Harm | C4 will push to enhance local government capacity for understanding and developing strategy of climate change adaptation C4 as a part of sustainability strategy in city resilience to cope climate change after ACCRN program | |
| 3.2 Criteria 1: Contribution to building Urban climate change resilience (<i>Credibility</i>) Outline how the proposal meets criteria 1. | C4 has capacity to research related with climate change and city development issues for giving important input to decision making process in local government. C4 will continue to develop adaptation efforts and monitoring evaluation, for increasing city resilience to cope climate change. | |
| 3.3 Criteria 2: Impact on lives of poor and vulnerable populations (<i>Credibility</i>) Outline how the proposal meets Criteria 2. | Increasing of decision maker capacity will create pro-poor development program as a resilience strategy. | |
| 3.4 Criteria 10: Prospects for replication in other places (<i>Replicable</i> <i>and scalable</i>) Outline how the proposal meets Criteria 10. | Periodically, C4 hold training for local government, so the number of people who understand with climate change will increase. Distribution of knowledge to all district in Semarang will occur automatically | |
| 3.5 Criteria 11: Ability to achieve scale (<i>Replicable and</i> <i>scalable</i>) Outline how the proposal meets Criteria 11. | Successful and Sustainability of city to enhance resilience strategy with supported by C4 is a good practice process and it will be disseminated to vulnerable cities in climate change. | |

1.1 Concept title:

Disaster Risk Reduction through developing shelter in flood area

| SECTION 2 – OUTCOME | SECTION 2 – OUTCOMES, APPROACH, SCOPE AND | | |
|---|---|--|--|
| 2.1 Problem definition Why is this problem? what is the Climate Risk? who is vulnerable? which Urban Systems are affected? What is the justification? What studies/ processes led to this being identified (e.g. Vulnerability Assessments/ Sector Studies/ SLD Meetings) | • The number of household which use non-pipe water system is about 170,000 household and about 51,000 is located in coastal area which vulnerable for contamination caused by accumulation from domestic waste water in the river. | | |
| | SLR will reach until 38 cm and population growth in 2050. Household with contamination of well will increase double from current. | | |
| | Semarang local government in the progress to develop sanitation master plan as a respond MDGs indicator to enhance quality of sanitation. In draft of sanitation master plan explain several prioritize location for sanitation improvement to protect water resource and increasing public health. | | |
| | Local government has limitation of budget to finance of domestic waste water treatment facility, cause of local government propose financing mechanism to central government. | | |
| | Central government also has limitation to support all action in sanitation master plan, so it is needed other opportunity to finance this program | | |
| 2.2 Method | Study of appropriate technology for domestic waste water treatment | | |
| What is the intended method? How would it be done (what is the intended process) Who would do it (project owner, partners, involved institutions) | Collecting best practice for community base on domestic waste water treatment | | |
| | Development options of domestic waste water treatment facilities including design and construction cost estimation | | |
| | Choosing appropriate or contextual technology which has resulted by Cost Benefit Analysis | | |
| | Establishing community organization for waste water treatment facility management | | |
| | Construction in several prioritize places | | |
| | Awareness and Social Marketing | | |
| 2.3 Scope | Cost | | |
| What is the estimated Cost? Provide a breakdown of costs by resource and time What is the estimated timeframe? Is it a staged approach? When would outcomes be achieved or outputs delivered? | Study of appropriate technology for domestic waste water treatment : US\$ 30,000 | | |
| | Establishing community organization for waste water treatment facility management : US\$ 20,000 | | |
| | - Construction in several prioritize places : US\$ 150,000 | | |
| | Awareness and Social Marketing : US\$ 30,000 | | |
| | Timeframe | | |
| | Study of appropriate technology for domestic waste water treatment : 3 months | | |
| | - Establishing community organization for waste water treatment | | |

| facility management : 6 months |
|---|
| - Construction in several prioritize places : 6 months |
| Awareness and Social Marketing : 6 months |

| SECTION 3 – PRINCIPLES AND CRITERIA | | |
|---|--|--|
| 3.1 ACCCRN Principles Does the concept challenge the ACCCRN principles in any way? Ecologically Sustainable Development Do No Harm | Domestic waste water treatment will reduce pollution in drainage system which river as end pipe. Increasing quality of river as water resource also means enhance water supply. Bacteria in well can be reduced with domestic waste water treatment. | |
| 3.2 Criteria 1: Contribution to building Urban climate change resilience (<i>Credibility</i>) Outline how the proposal meets criteria 1. | Domestic waste water treatment improve sanitation system and health, also increasing city resilience in water sector, because the function of treatment to give protection for non-pipe water resource, especially well. Increasing sanitation access, public health, and water resource availability will make strength of city system from climate change threat in the future. | |
| 3.3 Criteria 2: Impact on lives of poor and vulnerable populations (<i>Credibility</i>) Outline how the proposal meets Criteria 2. | Bad sanitation and pollution in water resource from well will increasing community cost. Poor population become most vulnerable group, because price of good water is more expensive compare with normal situation. Prioritize of location for domestic waste water treatment is vulnerable group, so they can increase saving, because their cost will reduce for health and water resource allocation. | |
| 3.4 Criteria 10: Prospects for replication in other places (<i>Replicable</i> <i>and scalable</i>) Outline how the proposal meets Criteria 10. | Decentralization system of domestic waste water treatment (in community level) can be replicated in other place, especially in priority area in sanitation master plan. The goals of replication is just in management system, but for technology should consider with local situation. | |
| 3.5 Criteria 11: Ability to achieve scale (<i>Replicable and scalable</i>) Outline how the proposal meets Criteria 11. | If community can saving because their cost will decrease after program, so this system is easier to replicate in other area. | |